FINANCIAL REPORTING AUTHORITY

(CAYFIN)

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CAYMAN ISLANDS

Financial Sanctions Notice **14/06/2024**

Russia

Introduction

1. The Russia (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/855) ("the Russia Regulations") were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the imposition of financial sanctions, including asset freezes and other financial and investment restrictions, on persons who are or have been involved in destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine; or obtaining a benefit from or supporting the Government of Russia.

2. On 14 June 2024 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.

3. 2 entries have been corrected on the Consolidated List, bringing the entries in line with the UK Sanctions List.

Notice summary

4. The following entries have been corrected and are still subject to an asset freeze and trust services sanctions:

    - CENTRAL COUNTERPARTY NATIONAL CLEARING CENTRE (Group ID: 16530)

- LLC KOMPANIYA AMG (Group ID: 16541)

What <u>you</u> must do

5. You must:

   i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice and any entities owned or controlled by them;

   ii. freeze such accounts, and other funds or economic resources;

   iii. refrain from dealing with the funds or economic resources or making them available directly or indirectly to or for the benefit of designated persons unless licensed by the Governor or if an exception applies;

   iv. refrain from providing trust services to or for the benefit of the persons set out to the Annex in this Notice;

   v. report any findings to the FRA at financialsanctions@gov.ky, together with the information or other matter on which the knowledge or suspicion is based by completing and submitting a Compliance Reporting Form (CRF). Where the information relates to funds or economic resources, the nature and quantity should also be reported.

6. Information received by the FRA may be disclosed to third parties in accordance with provisions set out in the Information and Records part of the regulations and in compliance with applicable data protection laws.

7. Information regarding a suspected designated person, and funds or economic resources belonging to them, does not need to be disclosed to the FRA where it has previously been reported.

8. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions may be a criminal offence.

Further Information

9. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Russia financial sanctions page on the GOV.UK website:

   https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases

10. The Consolidated List can be found here:

    https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets

11. The UK Sanctions List can be found here:

https://www.gov.uk/government/publications/the-uk-sanctions-list

12. The Compliance Reporting Form can be found here:

    https://fra.gov.ky/forms-documents/#74-91-wpfd-financial-sanctions-forms

13. For more information please see OFSI's guide to financial sanctions:

    https://www.gov.uk/government/publications/uk-financial-sanctions-guidance

14. For general information on financial sanctions please see our Financial Sanctions Guidance:

    https://fra.gov.ky/guides-to-financial-sanctions/

Enquiries

15. Enquiries regarding this Financial Sanctions Notice should be addressed to:

    The Sanctions Coordinator
    Financial Reporting Authority
    P.O. BOX 1054
    Grand Cayman KY1-1102
    Cayman Islands
    financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: RUSSIA

THE RUSSIA (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/855)

CORRECTIONS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Entities

1. **CENTRAL COUNTERPARTY NATIONAL CLEARING CENTRE**
Name (non-Latin script): Центрального Контрагента Национальный Клиринговый Центр **a.k.a:** (1) National Clearing Centre (2) NCC **Address:** 13 Bolshoy Kislovski Per, Moscow, Russia, 125009. **Other Information:** (UK Sanctions List Ref):RUS2115. Financial sanctions imposed in addition to an asset freeze: Trust services. Date trust services sanctions imposed: 13/06/2024. (UK Statement of Reasons):Central Counterparty National Clearing Centre is an involved person under the Russia (Sanctions) (EU Exit) Regulations 2019 on the following ground: Central Counterparty National Clearing Centre is and has been involved in obtaining a benefit from or supporting the Government of Russia by carrying on business in a sector of strategic significance to the Government of Russia, namely the Russian financial services sector.(Phone number):*(1)* +7495782 9794 *(2) +74953633232* (Website):https://www.nationalclearingcentre.com/ (Email address): Info-nkcbank@moex.com (Business Reg No):TIN: 7750004023 **Listed on:** 13/06/2024 **UK Sanctions List Date Designated:** 13/06/2024 **Last Updated:** ~~13/06/2024~~ *14/06/2024* **Group ID:** 16530.

2. **LLC KOMPANIYA AMG**
Address: Ul. Kuskovskaya d. 20A, Pom. XIIA Komn. 114, Moscow, ~~111141~~ *Russia*, ~~Russia~~ *111141*. **Other Information:** (UK Sanctions List Ref):RUS2118. Financial sanctions imposed in addition to an asset freeze: Trust services. Date trust services sanctions imposed: 13/06/2024. (UK Statement of Reasons):"LLC KOMPANIYA AMG is an involved person under the Russia (Sanctions) (EU Exit) Regulations 2019 because it is or has been involved in destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine by making available funds, economic resources, goods or technology, that could contribute to destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine." (Type of entity):Limited Liability Company (Business Reg No):(1) TIN: 7720821959 (2) OGRN: 1147746889531 **Listed on:** 13/06/2024 **UK Sanctions List Date Designated:** 13/06/2024 **Last Updated:** ~~13/06/2024~~ *14/06/2024* **Group ID:** 16541.