FINANCIAL REPORTING AUTHORITY

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Financial Sanctions Notice 21/02/2024

Democratic Republic of the Congo

Introduction

1. The Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 ("the Regulations") were made under the Sanctions and Anti-Money Laundering Act 2018 ("the Sanctions Act") and provide for the imposition of financial sanctions, namely the freezing of funds and economic resources of persons involved in the commission of a serious human rights violation or abuse, or violation of international humanitarian law, in the Democratic Republic of the Congo; obstructing or undermining respect for democracy, the rule of law and good governance in the Democratic Republic of the Congo; or the production, manufacture or use in the Democratic Republic of the Congo of improvised explosive devices, or in the commission, planning, ordering, aiding, abetting or otherwise assistance of attacks in the Democratic Republic of the Congo with improvised explosive devices.

2. On 21 February 2024 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.

3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. The following entries have been added to the Consolidated List and are now subject to an asset freeze:

- Apollinaire HAKIZIMANA (Group ID: 16379)
- Ahmad Mahmood HASSAN (Group ID: 16380)
- Willy NGOMA (Group ID: 16382)
- Mohamed Ali NKALUBO (Group ID: 16383)
- Michel RUKUNDA (Group ID: 16381)
- William Amuri YAKUTUMBA (Group ID: 16384)

What you must do

5. You must:

i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice and any entities owned or controlled by them;

ii. freeze such accounts, and other funds or economic resources;

iii. refrain from dealing with the funds or economic resources or making then available directly or indirectly to or for the benefit of designated persons unless licensed by the Governor or if an exception applies;

iv. report any findings to the FRA at financialsanctions@gov.ky, together with the information or other matter on which the knowledge or suspicion is based, by completing and submitting a Compliance Reporting Form (CRF). Where the information relates to funds or economic resources, the nature and quantity should also be reported.

6. Information received by the FRA may be disclosed to third parties in accordance with provisions set out in the Information and Records part of the regulations and in compliance with applicable data protection laws.

7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be found obtained from the Democratic Republic of the Congo financial sanctions page on the Gov.UK website:

https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases

9. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:

https://www.un.org/securitycouncil/sanctions/information

10. The UN Consolidated List can be found here:

https://www.un.org/securitycouncil/content/list-updates-unsc-consolidated-list

11. The Consolidated List can be found here:

https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets

12. The UK Sanctions List can be found here:

https://www.gov.uk/government/publications/the-uk-sanctions-list

13. For more information please see OFSI's guide to financial sanctions:

https://www.gov.uk/government/publications/uk-financial-sanctions-guidance

14. The Compliance Reporting Form can be found here:

https://fra.gov.ky/forms-documents/#74-91-wpfd-financial-sanctions-forms

15. For general information on financial sanctions please see our Financial Sanctions Guidance

https://fra.gov.ky/guides-to-financial-sanctions/

16. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO

THE DEMOCRATIC REPUBLIC OF THE CONGO (SANCTIONS) (EU EXIT) REGULATIONS 2019

ADDITIONS

Individuals

1. **HAKIZIMANA, Apollinaire**
DOB: --/--/1964. **Good quality a.k.a:** AMIKWE LEPIC **Low quality a.k.a:** (1) ADONIA (2) LE POÈTE **Nationality**: Rwanda **Position:** (1) Lieutenant General (2) Commissioner for Defense for the Forces Déocratiques de Libération du Rwanda - Forces Combattantes Abacunguzi (FDLR-FOCA) **Other Information:** (UK Sanctions List Ref):DRC0067. (UN Ref):CDi.039. Listed pursuant to paragraphs 7 (b), 7 (e) and 7 (h) of resolution 2293 (2016) as "being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups"; "planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals"; and "acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity," as extended by resolution 2688 (2023). As a military leader of FDLR, APOLLINAIRE HAKIZIMANA is involved in promulgating and supporting the group's activities. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated**: 21/02/2024 **Group ID**: 16379.

2. **HASSAN, Ahmad Mahmood**
DOB: (1) 21/07/1997. (2) --/--/1993. **Good quality a.k.a:** (1) ALIYANI, AHMED, MAHAMUD, HASSAN (2) HASSAN, AHMAD, MAHAMOOD (3) HASSAN, AHMAD, MAHMOUD (4) HASSAN, AHMED, MAHMOUD **Low quality a.k.a:** (1) ABU WAQAS (2) ABUWAKAS (3) ABWAKASI (4) JUNDI (5) LEBLANC (6) MARABOU (7) MURABU (8) MWARABU (9) SAINT JOYAGE **Nationality**: United Republic of Tanzania **Passport Number:** (1) AB850901 (2) AB187304 **Passport Details:** (1) United Republic of Tanzania expires 11 Dec. 2026 (2) United Republic of Tanzania (Expired 28 Nov. 2016) Address: Democratic Republic of the Congo. **Position**: (1) Lieutenant general (2) Senior leader of the Allied Democratic Forces (ADF) (CDe.001) **Other Information:** (UK Sanctions List Ref):DRC0068. (UN Ref):CDi.040. Listed pursuant to paragraphs 7 (b), 7 (e), and 7 (h) of resolution 2293 (2016) and paragraph 3 of resolution 2641 (2022): "being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups", "planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals", "acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity", and "involve[d] in the production, manufacture or use in the DRC of improvised explosive devices, or in the commission, planning, ordering, aiding, abetting or otherwise assistance of attacks in the DRC with improvised explosive devices". **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated:** 21/02/2024 **Group ID:** 16380.

3. **NGOMA, Willy**
DOB: --/--/1974. **Nationality**: Democratic Republic of the Congo **Address**: Democratic Republic of the Congo. **Position**: (1) Major (2) Military spokesperson for M23 **Other Information:** (UK Sanctions List Ref):DRC0070. (UN Ref):CDi.044. Listed pursuant to paragraphs 7 (c), 7 (e) and 7 (h) of resolution 2293 (2016) as "being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes;" "planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals;" and "acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity", as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of M23 (CDe.006), WILLY NGOMA is involved in planning, promulgating and supporting the armed group's activities. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated**: 20/02/2024 **Last Updated:** 21/02/2024 **Group ID:** 16382.

4. **NKALUBO, Mohamed Ali**
DOB: (1) --/--/1987. (2) --/--/1988. (3) --/--/1991. (4) --/--/1992. (5) --/--/1993. **POB**: Kampala, Uganda **Good quality a.k.a:** (1) MEDDIE LEE (2) MEDDIE NKALUBO **Low quality a.k.a:** (1) ABOU SHAUKAN (2) ABU SHAUKAN (3) ABUL JIHAD (4) DEFENDER (5) KASIBANTE (6) PUNISHER (7) PUNNY BOY **Nationality**: Uganda **Address**: Democratic Republic of the Congo. **Position**: Allied Democratic Forces (ADF) senior leader for operations/organization/support, and also communication and propaganda **Other Information:** (UK Sanctions List Ref):DRC0069. (UN Ref):CDi.042. Listed pursuant to paragraphs 7 (b), 7 (d), 7 (e), and 7 (h) of resolution 2293 (2016) as "being political and military leaders of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups; "recruiting or using children in armed conflict in the DRC in violation of applicable international law; "planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals"; and "acting on behalf of or at the direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity", as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of ADF (CDe.001), MOHAMED ALI NKALUBO is involved in planning, promulgating and supporting the armed group's activities. He has effective command and control over the group's combatants. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated:** 21/02/2024 **Group ID:** 16383.

5. **RUKUNDA, Michel**
DOB: 12/09/1974. **POB**: Minembwe, South Kivu, Democratic Republic of the Congo **Low quality a.k.a:** MAKANIKA **Nationality**: Democratic Republic of the Congo **Address**: Democratic Republic of the Congo. **Position**: Commander and military leader of the armed group Twirwaneho **Other Information:** (UK Sanctions List Ref):DRC0071. (UN Ref):CDi.041. Listed pursuant to paragraph 7 (c), 7 (d), and 7 (e) of resolution 2293 (2016) as "being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes"; "recruiting or using children in armed conflict in the DRC in violation of applicable international law"; "planning, directing, or committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals" and "acting on behalf of or at the

direction of a designated individual or entity, or acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity", as extended by resolution 2688 (2023). As a military leader of an armed Congolese militia, MICHEL RUKUNDA is involved in promulgating and supporting the group's activities. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated:** 21/02/2024 **Group ID:** 16381.

6. **YAKUTUMBA, William Amuri**
DOB: (1) --/--/1970. (2) --/--/1972. **POB**: Lunbondja, Fizi Territory, South Kivu, Democratic Republic of the Congo **Good quality a.k.a:** YAKUTUMBA **Nationality**: Democratic Republic of the Congo **Position**: (1) Secretary General and leader for the Coalition nationale du people pour la souveraineté du Congo (CNPSC) (until February 2023) (2) Founder and leader for MAI-MAI YAKUTUMBA **Other Information:** (UK Sanctions List Ref):DRC0066. (UN Ref):CDi.043. Listed pursuant to paragraphs 7 (c), 7 (d), 7 (e) and 7 (g) of resolution 2293 (2016) as "being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes;" "recruiting or using children in armed conflict in the DRC in violation of applicable international law;" "planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals;" and "supporting individuals or entities, including armed groups or criminal networks, involved in destabilizing activities in the DRC through the illicit exploitation or trade of natural resources, including gold or wildlife as well as wildlife products", as reaffirmed by paragraph 2 of resolution 2688 (2023). As the leader of the MAI MAI YAKUTUMBA, one of the largest CNPSC militia, WILLIAM AMURI YAKUTUMBA is involved in planning, promulgating and supporting the militia's activities. He has effective command and control over the militia's combatants. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated:** 21/02/2024 **Group ID:** 16384.

Financial Reporting Authority

Portfolio of Legal Affairs

21/02/2024