FINANCIAL REPORTING AUTHORITY

(CAYFIN)

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CAYMAN ISLANDS

Financial Sanctions Notice **07/11/2024**

Chemical Weapons

Introduction

1. The Chemical Weapons (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/618) were made under the Sanctions and Anti-Money Laundering Act 2018 ("the Sanctions Act") and provide for the imposition of financial sanctions, namely the freezing of funds and economic resources of persons who are or have been involved in a prohibited activity related to chemical weapons.

2. On 7 November 2024 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.

3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. The following entry has been added to the Consolidated List and is now subject to an asset freeze:

   • Denis SERGEEV (Group ID: 16680)

What <u>you</u> must do

5. You must:

   i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice and any entities owned or controlled by them;

   ii. freeze such accounts, and other funds or economic resources;

   iii. refrain from dealing with the funds or economic resources or making them available directly or indirectly to or for the benefit of designated persons unless licensed by the Governor or if an exception applies;

   iv. report any findings to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF), together with any additional information or other matter on which the knowledge or suspicion is based. Where the information relates to funds or economic resources, the nature and quantity should also be reported.

6. Information received by the FRA may be disclosed to third parties in accordance with the Regulation and in compliance with applicable data protection laws.

7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions may be a criminal offence.

Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Chemical Weapons financial sanctions page on the GOV.UK website:

   https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases

9. The Consolidated List can be found here:

   https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets

10. The UK Sanctions List can be found here:

    https://www.gov.uk/government/publications/the-uk-sanctions-list

11. The Compliance Reporting From can be found here:

    https://fra.gov.ky/forms-documents/#74-91-wpfd-financial-sanctions-forms

12. For more information please see OFSI's guide to financial sanctions:

    https://www.gov.uk/government/publications/uk-financial-sanctions-guidance

13. For general information on financial sanctions please see our Financial Sanctions Guidance

    https://fra.gov.ky/guides-to-financial-sanctions/

Enquiries

14. Enquiries regarding this Financial Sanctions Notice should be addressed to:

    The Sanctions Coordinator
    Financial Reporting Authority
    P.O. BOX 1054
    Grand Cayman KY1-1102
    Cayman Islands
    financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: CHEMICAL WEAPONS

THE CHEMICAL WEAPONS (SANCTIONS) (EU EXIT) REGULATIONS 2019

ADDITION

Individual

1. **SERGEEV, Denis**
   Name (non-Latin script): Денис Сергеев
   DOB: 17/09/1973. **a.k.a:** SERGEEV, Denis, Sergey, Fedotov **Nationality:** Russia **Passport Number:** 45 15257657 **Position:** An officer of senior rank, possibly Major General, serving in the Russian Military Intelligence Service (GRU) **Other Information:** (UK Sanctions List Ref): CHW0029. (UK Statement of Reasons): Denis SERGEEV is an involved person under the Chemical Weapons (Sanctions) (EU Exit) Regulations 2019 on the basis that he has been responsible for, engaging in, providing support for, or promoting prohibited activity related to chemical weapons. Specifically, SERGEEV provided support in the preparation and use of the chemical weapon Novichok in Salisbury on 4 March 2018, and provided a coordinating role in London on the weekend of the attack. (Gender): Male **Listed on:** 07/11/2024 **UK Sanctions List Date Designated:** 07/11/2024 **Last Updated:** 07/11/2024 **Group ID:** 16680.

Financial Reporting Authority

Portfolio of Legal Affairs

07/11/2024