

# FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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CAYMAN ISLANDS

**Financial Sanctions Notice**

**01/02/2021**

## Yemen

### Introduction

1. The Yemen (Sanctions) (EU Exit) Regulations 2020 (S.I. 2020/733) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies that threaten the peace, stability or security of Yemen.
2. On 1 February 2021 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

### Notice summary (Full details are provided in the Annex to this Notice)

4. The following entries have been amended and are still subject to an asset freeze:
  - Abdullah Yahya AL HAKIM (Group ID: 13190)
  - Abd Al-Khaliq AL-HOUTHY (Group ID: 13191)
  - Abdulmalik AL-HOUTHY (Group ID: 13253)

- Ahmed Ali Abdullah SALEH (Group ID: 13254)
- Ali Abdullah SALEH (Group ID: 13192)

### What you must do

5. You must:
  - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
  - ii. freeze such accounts, and other funds or economic resources;
  - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
  - iv. report any findings to the FRA at [financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky), together with any additional information that would facilitate compliance with the Regulation;
  - v. provide any information concerning the frozen assets of designated persons to the FRA at [financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky) by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

### Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be found obtained from the Yemen financial sanctions page on the Gov.UK website:  
<https://www.gov.uk/government/collections/financial-sanctions-regime-specificconsolidated-lists-and-releases>
9. Further details on the UN measures in respect of Yemen can be found on the relevant UN Sanctions Committee webpage:  
<https://www.un.org/securitycouncil/sanctions/2140>
10. The Consolidated List can be found here:  
<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>

11. The UK Sanctions List can be found here:

<https://www.gov.uk/government/publications/the-uk-sanctions-list>

12. For more information please see our guide to financial sanctions:

<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

13. For more information please see OFSI's guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

### **Enquiries**

14. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator  
Financial Reporting Authority  
P.O. BOX 1052  
Grand Cayman KY1-1102  
Cayman Islands  
[financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky)

## ANNEX TO NOTICE

### FINANCIAL SANCTIONS: YEMEN

#### THE YEMEN (SANCTIONS) (EU EXIT) REGULATIONS 2020 (S.I. 2020/733)

#### AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

#### Individuals

**1. AL HAKIM, Abdullah Yahya**

**DOB:** (1) ~~1985~~. (2) ~~1984~~. (3) ~~1986~~. **POB:** (1) Dahyan (2) Sa'dah Governorate, (1) Yemen (2) Yemen **a.k.a:** (1) AL HAKIM, Abu, Ali (2) AL-HAKIM, Abdallah (3) ALHAKIM, Abu, Ali (4) AL-HAKIM, Abu-Ali (5) AL-MU'AYYAD, Abdallah **Nationality:** Yemen **Address:** Dahyan, Sa'dah Governorate, Yemen. **Position:** Huthi group second-in-command **Other Information:** (UK Sanctions List Ref): YEM0002 (UN Ref): YEi.002 (Gender): Male (*Further Identifying Information*): *INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> click here.* **Listed on:** 19/12/2014 **Last Updated:** ~~31/12/2020~~ 01/02/2021 **Group ID:** 13190.

**2. AL-HOUTHY, Abd Al-Khaliq**

**DOB:** ~~1984~~. **a.k.a:** (1) AL HUTHI, Abd-al-Khaliq, Badr-al-Din (2) AL-HUTHI, 'Abd al-Khaliq, Badr al-Din (3) AL-HUTHI, Abd, al-Khaliq (4) AL-HUTHI, Abd-al-Khaliq **Nationality:** Yemen **Position:** Huthi military commander **Other Information:** (UK Sanctions List Ref): YEM0001 (UN Ref): YEi.001. Also referred to as Abu-Yunus. (*Further Identifying Information*): *INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> click here.* **Listed on:** 19/12/2014 **Last Updated:** ~~31/12/2020~~ 01/02/2021 **Group ID:** 13191.

**3. AL-HOUTHY, Abdulmalik**

**a.k.a:** AL-HUTHI, Abdulmalik **Position:** Leader of Yemen's Houthi Movement **Other Information:** (UK Sanctions List Ref): YEM0004 (UN Ref): YEi.004 (*Further Identifying Information*): *Has engaged in acts that threaten the peace, security, or stability of Yemen.* **Listed on:** 09/06/2015 **Last Updated:** ~~31/12/2020~~ 01/02/2021 **Group ID:** 13253.

**4. SALEH, Ali Abdullah**

**DOB:** (1) 21/03/1945. (2) 21/03/1946. (3) 21/03/1942. (4) 21/03/1947. **POB:** (1) Bayt al-Ahmar, Sana'a Governorate (2) Sana'a (3) Sana'a, Sanhan, Al-Rib' al-Sharqi, (1) Yemen (2) Yemen (3) - **a.k.a:** SALIH, Ali, Abdallah **Nationality:** Yemen **Passport Details:** 00016161 (Yemen) **National Identification no:** 01010744444 **Position:** President of Yemen's General People's Congress party **Other Information:** (UK Sanctions List Ref): YEM0003 (UN Ref): YEi.003 (Gender): Male (*Further Identifying Information*): *Status: reportedly deceased. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> click here.* **Listed on:** 19/12/2014 **Last Updated:** ~~31/12/2020~~ 01/02/2021 **Group ID:** 13192.

**5. SALEH, Ahmed Ali Abdullah**

**DOB:** 25/07/1972. **a.k.a:** AL-AHMAR, Ahmed, Ali, Abdullah **Nationality:** Yemeni  
**Passport Details:** (1) 17979 (Yemeni). Issued under name Ahmed Ali Abdullah Saleh  
(2) 02117777 (Yemeni). Issued on 8.11.2005 under name Ahmed Ali Abdullah Al-Ahmar  
(3) 06070777 (Yemeni). Issued on 3.12.2014 under name Ahmed Ali Abdullah Al-Ahmar.  
**National Identification no:** 31/2013/20/003140 (diplomatic identity card). Issued on 7 July 2013 by the United Arab Emirates' Ministry of Foreign Affairs under name Ahmed Ali Abdullah Saleh. Current status: cancelled. **Address:** United Arab Emirates. **Other Information:** (UK Sanctions List Ref): YEM0005 (UN Ref): YEi.005  
*(Further Identifying Information): Has played a key role in facilitating the Houthi military expansion. Has engaged in acts that threaten the peace, security, or stability of Yemen. Ahmed Saleh is the son of the former President of the Republic of Yemen, Ali Abdullah Saleh (YEi.003). Ahmed Ali Abdullah Saleh comes from an area known as Bayt Al-Ahmar, which lies some 20 kilometres southeast of the capital, Sana'a.*  
*INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> click here.* **Listed on:** 09/06/2015 **Last Updated:** ~~31/12/2020~~ 01/02/2021 **Group ID:** 13254.

Financial Reporting Authority

Portfolio of Legal Affairs

01/02/2021