

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

14/12/2020

Democratic Republic of the Congo

Introduction

1. Council Regulation (EC) 1183/2005 (“the Regulation”) imposing financial sanctions against the Democratic Republic of Congo has been amended.
2. Identifying information for the individuals listed in the Annex to this Notice has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

3. The following entry has been removed from the consolidated list and is no longer subject to an asset freeze.
 - Delphin KAIMBI (Group ID: 13438)
4. 11 entries have been amended on the consolidated list and are still subject to an asset freeze.

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;

- ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky , together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
 7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

8. On 11 December 2020 Council Implementing Regulation (EU) 2020/2021 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 419, 11.12.2020, p.5) by the Council of the European Union.
9. The Amending Regulation amended Annex Ia to the Regulation with effect from 12 December 2020

Further Information

10. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:
<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32020R2021&from=EN>
11. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Democratic Republic of Congo financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
12. Please note there are also import and export restrictions on the Democratic Republic of Congo. Further guidance on export and trade sanctions is available from the GOV.UK website:
<https://www.gov.uk/guidance/uk-sanctions>
13. For more information please see OFSI’s guide to financial sanctions under Sanctions Act Regulations:
<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

14. For general information on financial sanctions please see our Financial Sanctions Guidance

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

15. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF CONGO

COUNCIL IMPLEMENTING REGULATION (EU) 2020/2021

AMENDING ANNEX I TO COUNCIL REGULATION (EC) 1183/2005

DELISTING

Individual

1. KAIMBI, Delphin

DOB: (1) 15/01/1969. (2) 15/07/1969. **POB:** Kiniezire/Goma, DRC a.k.a: (1) KAHIMBI, Delphin, Kasagwe (2) KAHIMI, Delphin, Kasagwe (3) KASANGWE, Delphin, Kahimbi (4) KASANGWE, Delphin, Kahimbi, Demba (5) KASANGWE, Delphin, Kayimbi, Demba **Nationality:** DRC **Passport Details:** Diplomatic passport No: DB0006669 (valid 13.11.2013 until 12.11.2018) **Address:** 1, 14eme rue, Quartier Industriel, Linete, Kinshasa, RDC. **Position:** In July 2018, Delphin Kaimbi was appointed assistant Chief of Staff within the FARDC General Staff, with responsibility for intelligence. **Other Information:** EU Listing. Former Head of Military Intelligence Body (ex-DEMIAP), part of the National Operations Centre, the command and control structure responsible for arbitrary arrests and violent repression in Kinshasa in September 2016, and responsible for forces that participated in intimidation and arbitrary arrests, which obstructs a consensual and peaceful solution towards elections in DRC. **Listed on:** 12/12/2016 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13438.

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. KAMPETE, Ilunga

DOB: 24/11/1964. **POB:** Lubumbashi, DRC a.k.a: (1) KAMPETE, Gaston, Hughes, Ilunga (2) KAMPETE, Hugues, Raston, Ilunga **Nationality:** DRC **National Identification no:** Military 1-64-86-22311-29 (*Military ID*) **Address:** 69, avenue Nyangwile, Kinsuka Mimosas, Kinshasa/Ngaliema, ~~RDC~~ *DRC*. **Position:** *(1) Lieutenant General in the Congolese Armed Forces (FARDC) (2) Commander of the Kitona military base* ~~Commander of the Republican Guard (GR)~~ **Other Information:** EU Listing. *Gender: male.* As Commander of the Republican Guard (GR) *until April 2020*, Ilunga Kampete was responsible for the GR units deployed on the ground and involved in the disproportionate use of force and violent repression, in September 2016 in Kinshasa. *He was also responsible for the repression and infringement of human rights committed by GR agents, such as the violent repression of an opposition rally in Lubumbashi in December 2018. Since July 2020, he remains a high-ranking soldier, as a Lieutenant General in the Congolese Armed Forces (FARDC) and Commander of the Kitona military base in the province of Kongo Central. By virtue of his functions, he bears responsibility*

for the recent human rights violations committed by the FARDC. In this capacity, Ilunga Kampete was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Listed on: 12/12/2016 Last Updated: 13/12/2018 14/12/2020 Group ID: 13439.

2. KUMBA, Gabriel Amisi

DOB: 28/05/1964. **POB:** Malela, DRC a.k.a: (1) NKUMBA, Gabriel, Amisi (2) *'Tango Four'* (3) *'Tango Fort'* **Nationality:** DRC **National Identification no:** Military 1-64-87-77512-30 (*Military ID*) **Address:** 22, avenue Mbsenseke, Ma Campagne, Kinshasa/Ngaliema, RDC DRC. **Position:** *Inspector General of the FARDC* In July 2018, Gabriel Amisi Kumba was appointed Deputy Chief of Staff of the Congolese Armed Forces (FARDC), with responsibility for operations and intelligence. **Other Information:** EU Listing. A.K.A. Tango Fort and A.K.A. Tango Four. **Gender:** *male*. Former Commander of the 1st *First Defence Zone of Congolese Army Armed Forces* (FARDC), *which* whose forces took part in the disproportionate use of force and violent repression in September 2016 in Kinshasa. *In this capacity as Deputy Chief of Staff of the FARDC in charge of operations and intelligence from July 2018 to July 2020, and owing to his senior duties as Inspector General of the FARDC since July 2020, he bears responsibility for recent human rights violations committed by the FARDC. In this capacity, Gabriel Amisi Kumba was therefore involved in planning, directing, or committing acts that constitute serious human rights violations or abuses in the DRC. Listed on: 12/12/2016 Last Updated: 13/12/2018 14/12/2020 Group ID: 13433.*

3. LUYOYO, Ferdinand Ilunga

DOB: 08/03/1973. **POB:** Lubumbashi, DRC **Nationality:** DRC **Passport Details:** OB0260335 (DRC) {Valid from 15.4.2011 until 14.4.2016} **Address:** 2, avenue des Orangers, Kinshasa/Gombe, RDC DRC. **Position:** In July 2017, Ferdinand Ilunga Luyoyo was appointed Commander of the PNC unit responsible for the protection of institutions and high ranking officials. **Other Information:** EU Listing. **Gender:** *male*. Former As Commander of the anti-riot body Légion Nationale d'Intervention of the Congolese National Police (PNC) *until 2017, and Commander of the unit responsible for the protection of institutions and high-ranking officials within the PNC until December 2019,* Ferdinand Ilunga Luyoyo was responsible for *the* disproportionate use of force and violent repression in September 2016 in Kinshasa, *and bears responsibility for the subsequent human rights violations committed by the PNC. In this capacity, Ferdinand Ilunga Luyoyo was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Ferdinand Ilunga Luyoyo has retained his rank of General and remains active on the public scene in the DRC. Listed on: 12/12/2016 Last Updated: 13/12/2018 14/12/2020 Group ID: 13434.*

4. KANYAMA, Célestin

DOB: 04/10/1960. **POB:** Kananga, DRC a.k.a: (1) KANYAMA, Célestin, Cishiku, Antoine (2) KANYAMA, Cishiku, Bilolo, Célestin (3) KANYAMA, Tshisiku, Célestin (1) ANTOINE, Kanyama, Célestin, Cishiku (2) CELESTIN, Kanyama, Tshisiku (3) CÉLESTIN, Kanyama, Cishiku, Bilolo (4) *'Esprit de mort'* **Nationality:** DRC **Passport Details:** (1) OB0637580 (DRC) {Valid from 20.5.2014 to 19.5.2019} (2) Was granted Schengen visa No 011518403 (*Schengen Visa*). Issued on 2.7.2016 **Address:** 56, avenue Usika, Kinshasa/Gombe, RDC DRC. **Position:** In July 2017, Célestin Kanyama was appointed Director General of the National Police's training schools. **Other Information:** EU Listing. **Gender:** *male*. Former Kinshasa Police Commissioner *As Commissioner of the Congolese National Police* (PNC), Célestin Kanyama was responsible for the disproportionate use of force and violent repression in September 2016 in Kinshasa. *In July 2017, Célestin*

Kanyama was appointed Director-General of the PNC's training schools. Owing to his role as a senior PNC official, he bears responsibility for the recent human rights violations committed by the PNC. One example is the intimidation and deprivation of freedom imposed on journalists by police officers in October 2018, after the publication of a series of articles on the misappropriation of police cadet rations, and the role that Célestin Kanyama played in these events. ~~In this capacity,~~ Celestin Kanyama was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. ~~A.K.A Esprit de mort~~ **Listed on:** 12/12/2016 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13435.

5. NUMBI, John

DOB: 16/08/1962. **POB:** Jadotville-Likasi-Kolwezi, DRC a.k.a: (1) NUMBI BANZA NTAMBO, John, Numbi, Banza (2) TAMBO NUMBI, Tambo (3) NUMBI BANZA TAMBO, John, Numbi, Banza **Nationality:** DRC **Address:** 5, avenue Oranger, Kinshasa/Gombe, RDC DRC. **Position:** ~~In July 2018, John Numbi was appointed Inspector General of the Congolese Armed Forces (FARDC).~~ **Other Information:** EU Listing. Gender: male. John Numbi was Inspector General of the Congolese Armed Forces (FARDC) from July 2018 until July 2020. Owing to his role, he bears responsibility for the recent human rights violations committed by the FARDC, such as disproportionate violence against illegal miners from June to July 2019 committed by FARDC troops under his direct authority. John Numbi was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. John Numbi retains a position of influence in the FARDC, especially in Katanga, where serious human rights violations committed by the FARDC have been reported. ~~Former Inspector General of the Congolese National Policy (PNC), John Numbi who was notably involved in the March 2016 gubernatorial elections in the DRC's four ex-Katanga provinces and as such is responsible for obstructing a consensual and peaceful solution towards elections in DRC.~~ **Listed on:** 12/12/2016 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13436.

6. BOSHAB, Evariste

DOB: 12/01/1956. **POB:** Tete Kalamba, DRC a.k.a: BOSHAB MABUB MA BILENG, Evariste, Boshab, Mabub **Nationality:** DRC **Passport Details:** (1) Diplomatic Passport DP0000003 (Diplomatic) (Valid from 21/12/2015 expiry to 20/12/2020). (2) Schengen visa expired on 5.1.2017. **Address:** 3, avenue du Rail, Kinshasa/Gombe, RDC DRC. **Position:** Senator of Kasai **Other Information:** EU listing. Gender: male. Former Vice President and Minister of Interior and Security. In his capacity as Vice Prime Minister and Minister of Interior and Security from December 2014 to December 2016, Evariste Boshab was officially responsible for the police and security services and for coordinating the work of provincial governors. In this capacity, he was responsible for arrests of activists and opposition members, as well as disproportionate use of force, including between September 2016 and December 2016 in response to demonstrations in Kinshasa, which resulted in a large number of civilians being killed or injured by security services. Evariste Boshab was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Evariste Boshab also played a part in the exploitation and aggravation of the crisis in the Kasai region, where he maintains a position of influence, in particular since becoming Senator of Kasai in March 2019. **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13459.

7. MUPOMPA, Alex Kande

DOB: 23/09/1950. **POB:** Kananga, DRC a.k.a: (1) KANDE-MUPOMPA (2) MUPOMBA, Alexandre, Kande **Nationality:** (1) DRC and (2) Belgian nationalities **Passport Details:** ~~DRC passport~~ OP0024910 (DRC). (Valid from 21/03/2016 to expiry 20/03/2021)

Address: (1) 1, avenue Bumba, Kinshasa/Ngaliema, RDC DRC. (2) Messidorlaan 217/25, 1180 Uccle, Belgium, 1180. **Position:** Leader of the Congrès des alliés pour l'action au Congo (CAAC) **Other Information:** EU listing. **Gender:** male. **Former Governor of Kasai Central:** As Governor of Kasai Central until October 2017, Alex Kande Mupompa was responsible for the disproportionate use of force, violent repression and extrajudicial killings committed by security forces and the Congolese National Police (PNC) in Kasai Central from August 2016, including killings in the territory of Dibaya in February 2017. Alex Kande Mupompa was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Alex Kande Mupompa also played a part in the exploitation and aggravation of the crisis in the Kasai region for which he was a representative until October 2019 and in which he maintains a position of influence as leader of the Congrès des alliés pour l'action au Congo (CAAC) which is part of the provincial government of Kasai. **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13461.

8. MUSONDA, Jean-Claude Kazembe

DOB: 17/05/1963. **POB:** Kashobwe, DRC **Nationality:** DRC **Address:** 7891, avenue Lubembe, Quartier Lido, Lubumbashi, Haut-Katanga, RDC DRC. **Position:** Leader of the CONAKAT party **Other Information:** EU listing. **Gender:** male. **Former Governor of Haut-Katanga:** As Governor of Haut-Katanga until April 2017, Jean-Claude Kazembe Musonda was responsible for the disproportionate use of force and violent repression committed by security forces and the Congolese National Police (PNC) in Haut-Katanga, including between 15 and 31 December 2016, when 12 civilians were killed and 64 were injured as a result of the use of lethal force by security forces including PNC agents in response to protests in Lubumbashi. Jean-Claude Kazembe Musonda was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Jean-Claude Kazembe Musonda is the leader of the CONAKAT party, which has remained loyal to the Front Commun pour le Congo (FCC). **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13462.

9. RUHORIMBERE, Éric

Title: Brigadier-General **DOB:** 16/07/1969. **POB:** Minembwe, DRC a.k.a: (1) RUHORIMBERE RUHANGA, Brigadier-General, Eric, Ruhorimbere (2) 'Tango Deux' (3) 'Tango Two' **Nationality:** DRC **Passport Details:** DRC passport number OB0814241 (DRC) **National Identification no:** Military ID 1-69-09-51400-64 (Military ID) **Address:** Mbuji-Mayi, Kasai Province, RDC DRC. **Position:** Commander of the Nord Equateur operational sector Deputy Commander of the 21st military region (mbuji-Mayi) **Other Information:** EU listing. **Gender:** male. As Deputy Commander of the 21st military region from September 2014 to July 2018, Éric Ruhorimbere was responsible for the disproportionate use of force and extrajudicial killings perpetrated by the Congolese Armed Forces (FARDC), in particular against the Nsapu militia and women and children. Éric Ruhorimbere has been the Commander of the Nord Equateur operational sector since July 2018. Owing to his role, he bears responsibility for the recent human rights violations committed by the FARDC. Éric Ruhorimbere was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Also known as Tango Two and Tango Deux. **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13465.

10. SHADARI, Emmanuel Ramazani

DOB: 29/11/1960. **POB:** Kasongo, DRC a.k.a: (1) SHADARI MULANDA, Emmanuel, Ramazani, Shadari (2) SHADARY **Nationality:** DRC **Address:** 28, avenue Ntela, Mont Ngafula, Kinshasa, RDC DRC. **Position:** In February 2018, Ramazani Shadari was named

Permanent Secretary of the Parti du peuple pour la reconstruction et le développement (PPRD) **Other Information:** EU listing. *Gender: male. Former Vice Prime Minister and Minister of Interior and Security. As Vice Prime Minister and Minister of Interior and Security until February 2018, Emmanuel Ramazani Shadari was officially responsible for the police and security services and for coordinating the work of provincial governors. In this capacity, he was responsible for the arrests of activists and opposition members, as well as for the disproportionate use of force, such as the violent crackdown on members of the Bundu Dia Kongo (BDK) movement in Kongo Central, the repression in Kinshasa from January to February 2017 and the disproportionate use of force and violent repression in the Kasai provinces. In this capacity, Emmanuel Ramazani Shadari was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. Since February 2018, Emmanuel Ramazani Shadari has been permanent secretary of the Parti du peuple pour la reconstruction et le développement (PPRD), the main party in the coalition under former President Joseph Kabila.* **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13466.

11. MUTONDO, Kalev

DOB: 03/03/1957. **a.k.a:** (1) KATANGA, Kalev, Mutondo (2) MOTONO, Kalev (3) MUTOID, Kalev (4) MUTOMBO, Kalev (5) MUTOND, Kalev (6) MUTONDO, Kalev, Katanga (7) MUTUND, Kalev (8) MUTUNDO, Kalev **Nationality:** DRC **Passport Details:** ~~Passport number DB0004470 (DRC). (issued~~ *Valid from 08/06/2012 —expires to 07/06/2017}* **Address:** 24, avenue Ma Campagne, Kinshasa, RDC **DRC.** **Position:** *“Political Advisor” to the Prime Minister of the DRC* ~~Head of the National Intelligence Service~~ **Other Information:** EU listing. *Gender: male. As Head of the National Intelligence Service (ANR) until February 2019, Kalev Mutondo was involved in and responsible for the arbitrary arrest, detention and mistreatment of opposition members, civil society activists and others. Kalev Mutondo was therefore involved in planning, directing or committing acts that constitute serious human rights violations or abuses in the DRC. In May 2019, he signed a declaration of past and future loyalty to Joseph Kabila, to whom he remains close. Kalev Mutondo still wields a high degree of political influence, in his new role as “political advisor” to the Prime Minister of the DRC.* **Listed on:** 30/05/2017 **Last Updated:** ~~13/12/2018~~ 14/12/2020 **Group ID:** 13467.

Financial Reporting Authority

Portfolio of Legal Affairs

14/12/2020

PROCEDURE FOR REMOVING PERSONS FROM THE CONSOLIDATED LIST AND UN-FREEZING ASSETS:

On receipt of the Financial Sanction Notices where an entry has been removed from the consolidated list and is no longer subject to any assets freeze:

“You must immediately on receipt of the Financial Sanction Notice advising of removal of person and/or entity from the Consolidated List –

- i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person or entity is no longer subject to an asset freeze.
- ii. Remove the person or entity from your institution’s list of persons or entities subject to financial sanction;
- iii. Un-freeze the assets of the person or entity and where necessary re-activate all relevant accounts;
- iv. Send advice to person or entity that the assets are no longer subject to an asset freeze;
- v. Advise the FRA at financialreportingauthority@gov.ky of the actions taken.

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