

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

28/01/2020

Ukraine (Sovereignty and Territorial Integrity)

Introduction

1. Council Regulation (EU) 269/2014 (“the Regulation”) imposing financial sanctions against those undermining or threatening the sovereignty and territorial integrity of Ukraine has been amended, so that an asset freeze now applies to the persons listed in the Annex to this Notice.

Notice summary (Full details are provided in the Annex to this Notice)

2. The following entries have been added to the consolidated list and are now subject to an asset freeze.
 - Sergei Andreevich DANILENKO (Group ID: 13805)
 - Lidia Aleksandrovna BASOVA (Group ID: 13806)
 - Ekaterina Eduardovna PYRKOVA (Group ID: 13807)
 - Ekaterina Borisovna ALTABAEVA (Group ID: 13808)
 - Yuriy Mikhailovich GOTSANYUK (Group ID: 13809)
 - Vladimir Vladimirovich NEMTSEV (Group ID: 13810)
 - Mikhail Vladimirovich RAZVOZHAEV (Group ID: 13811)

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a [Compliance Reporting Form](#) (CRF) available from the [FRA website](#). Information reported to the FRA may be passed on to other regulatory authorities or law enforcement.
4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

6. On 28 January 2020 Council Implementing Regulation (EU) 2020/119 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 22 I, 28.1.2020, p.1) by the Council of the European Union.
7. The Amending Regulation amended Annex I to the Regulation with effect from 28 January 2020.

Further Information

8. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:
<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32020R0119&from=EN>
9. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

10. Please note there may also be import and export restrictions on Ukraine. Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.
11. For more information please see OSFI's guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>
12. For general information on financial sanctions please see our Industry Guidance on Targeted Financial Sanctions.
<http://fra.gov.ky/app/webroot/files/2017-12-FRAGuidanceTargetedFinancialSanctions.pdf>

Enquiries

13. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY)

COUNCIL IMPLEMENTING REGULATION (EU) 2020/119

AMENDING ANNEX I TO COUNCIL REGULATION (EU) 269/2014

ADDITIONS

Individuals

- 1. DANILENKO, Sergei Andreevich**
DOB: 14/03/1960. **POB:** Krasnodar, (former USSR) **Position:** Head of the Sevastopol Electoral Commission. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13805.
- 2. BASOVA, Lidia Aleksandrovna**
DOB: --/--/1972. **a.k.a:** BASOVA, Lidiya, Oleksandrivna **Position:** Deputy Head of the Sevastopol Electoral Commission. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13806.
- 3. PYRKOVA, Ekaterina Eduardovna**
DOB: 22/08/1967. **POB:** Sevastopol, (former USSR) **a.k.a:** PYRKOVA, Kateryna, Eduardivna **Position:** Secretary of the Sevastopol Electoral Commission. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13807.
- 4. ALTABAEVA, Ekaterina Borisovna**
DOB: 27/05/1956. **POB:** Uglich, (former USSR) **a.k.a:** ALTABAEVA, Kateryna, Borysivna **Position:** Member of the Federation Council of the Russian Federation for the illegally annexed City of Sevastopol. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13808.
- 5. GOTSANYUK, Yuriy Mikhailovich**
DOB: 18/07/1966. **POB:** (1) Novaya Derevnya (2) Nove Selo, (former USSR) **a.k.a:** (1) GOTSANIUK, Yuriy, Mikhailovich (2) HOTSANIUK, Iurii, Mykhailovych **Position:** Prime Minister of the so-called “Republic of Crimea”. **Listed on:** 28/12/2020 **Last Updated:** 28/01/2020 **Group ID:** 13809.
- 6. NEMTSEV, Vladimir Vladimirovich**
DOB: 15/11/1971. **POB:** Sevastopol, (former USSR) **a.k.a:** NEMTSEV, Volodymyr, Volodymyrovych **Position:** Chairman of the so-called “Legislative Assembly” of the illegally annexed City of Sevastopol. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13810.
- 7. RAZVOZHAEV, Mikhail Vladimirovich**
DOB: 30/12/1980. **POB:** Krasnoyarsk, (former USSR) **a.k.a:** RAZVOZHAEV, Mykhailo, Volodymyrovich **Position:** So-called “acting Governor” of the illegally annexed City of Sevastopol. **Listed on:** 28/01/2020 **Last Updated:** 28/01/2020 **Group ID:** 13811.

Financial Reporting Authority

Portfolio of Legal Affairs

28/01/2020