

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

18/03/2019

Ukraine (Sovereignty and Territorial Integrity)

Introduction

1. Council Regulation (EU) 269/2014 (“the Regulation”) imposing financial sanctions against those undermining or threatening the sovereignty and territorial integrity of Ukraine has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

2. The entries detailed in the Annex to this notice have been amended and are still subject to an asset freeze.
3. The following entry has been removed from the consolidated list and is no longer subject to an asset freeze.
 - Alexander Vladimirovich ZAKHARCHENKO (Group ID: 13091)

What you must do

4. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialreportingauthority@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialreportingauthority@gov.ky by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
5. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

7. On 15 March 2019 Council Implementing Regulation (EU) 2019/408 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 73, 15.3.2019, p.9) by the Council of the European Union.
8. The Amending Regulation amended Annex I to the Regulation with effect from 18 March 2019.

Further Information

9. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<https://eur-lex.europa.eu/legal-ontent/EN/TXT/PDF/?uri=CELEX:32019R0408&from=EN>

10. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

11. For more information please see OSFI's guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

12. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1052
Grand Cayman KY1-1102
Cayman Islands
financialreportingauthority@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)

COUNCIL IMPLEMENTING REGULATION (EU) 2019/408

AMENDING ANNEX I TO COUNCIL REGULATION (EU) 269/2014

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. **VITKO, Aleksandr Viktorovich**

Title: Admiral **DOB:** 13/09/1961. **POB:** Vitebsk, Belarusian SSR **Position:**

~~Commander of the Black Sea Fleet~~ *Deputy Commander in Chief of the Russia Navy*

Other Information: *Former Commander of the Black Sea Fleet* **Listed on:**

18/03/2014 **Last Updated:** ~~23/03/2016~~ 18/03/2019 **Group ID:** 12930.

2. **ROGOZIN, Dmitry Olegovich**

DOB: 21/12/1963. **POB:** Moscow **Other Information:** Former Deputy Prime

Minister of the Russian Federation. *Since 2018 holds the position of General*

Director in a State corporation. **Listed on:** 21/03/2014 **Last Updated:** ~~20/09/2018~~

18/03/2019 **Group ID:** 12935.

3. **BELAVENTSEV, Oleg Yevgenyich**

DOB: 15/09/1949. **POB:** Moscow **Position:** ~~Plenipotentiary Representative of the~~

~~President of the Russian Federation into the 'North-Caucasus Federal District', and a~~

~~Non-permanent member of the Russian Security Council.~~ **Other Information:**

Former Plenipotentiary Representative of the President of the Russian Federation

into the so-called "Crimean Federal District", Former non-permanent member of

the Russian Security Council. Former Plenipotentiary Representative of the

President of the Russian Federation into the North Caucasus Federal District (until

June 2018). **Listed on:** 29/04/2014 **Last Updated:** ~~11/04/2017~~ 18/03/2019 **Group**

ID: 12951.

4. **SAVELYEV, Oleg Genrikhovich**

DOB: 27/10/1965. **POB:** Leningrad **Position:** ~~Deputy Chief of Staff of the Russian Government~~ Chief of Staff of the Audit Chamber of the Russian Federation **Other Information:** Former Minister for Crimean Affairs. Former Deputy Chief of Staff of the Russian Government. **Listed on:** 29/04/2014 **Last Updated:** ~~23/03/2016~~ 18/03/2019 **Group ID:** 12952.

5. **PUSHYLIN, Denys Volodymyrovych**

DOB: (1) 09/05/1981. (2) 09/05/1982. **POB:** Makiivka, Donetsk Oblast
a.k.a: PUSHILIN , Denis , Vladimirovich **Position:** So called "Head of the Donetsk People's Republic". "Chairman" of the "People's Council of the Donetsk People's Republic" **Other Information:** One of the leaders of the Donetsk People's Republic. Former Deputy Chairman of the "People's Council" of the so-called "Donetsk People's Republic". **Listed on:** 29/04/2014 **Last Updated:** ~~23/03/2016~~ 18/03/2019 **Group ID:** 12962.

6. **GUBAREVA, Ekaterina Yurievna**

DOB: (1) 05/07/1983. (2) 10/03/1983. **POB:** Kakhovka, Kherson Oblast
a.k.a: GUBARIEVA, Kateryna, Yuriyivna **Other Information:** Former so-called "Minister of Foreign Affairs". Former Member of the "People's Council" of the so called "Donetsk People's Republic". **Listed on:** 25/07/2014 **Last Updated:** ~~11/04/2017~~ 18/03/2019 **Group ID:** 13063.

7. **TCHIGRINA, Oksana**

DOB: 23/07/1981. **a.k.a:** (1) CHIGRINA , Oksana , Aleksandrovna (2) CHYHRYNA, Oksana, Aleksandrovna **Other Information:** Former Spokesperson of the so-called "government" of the so-called "Lugansk People's Republic". Former spokesperson of the Press Service of LNR. Date of birth is approximate. **Listed on:** 31/07/2014 **Last Updated:** ~~20/09/2018~~ 18/03/2019 **Group ID:** 13069.

8. **ABISOV, Sergey Vadimovich**
DOB: 27/11/1967. **POB:** Simferopol, Crimea **a.k.a:** (1) ABISOV, Sergiy, Vadymovych (2) ABISOV, Serhiy, Vadymovych **Position:** Minister of Interior of the Republic of Crimea **Other Information:** Former so-called "Minister of Interior of the Republic of Crimea" **Listed on:** 31/07/2014 **Last Updated:** 41/04/2017 18/03/2019 **Group ID:** 13071.
9. **KONONOV, Vladimir Petrovich**
DOB: 14/10/1974. **POB:** Gorsky, Luhansk Oblast **a.k.a:** KONONOV, Volodymyr, Petrovych **Position:** Defence Minister of the Donetsk People's Republic **Other Information:** As of 14 August 2014 he replaced Igor Strelkov/Girkin as the Defence Minister of the Donetsk People's Republic. He has reportedly commanded a division of separatist fighters in Donetsk since April 2014. Former "Defence Minister" of the "Donetsk People's Republic". Also known as 'Tsar'. **Listed on:** 12/09/2014 **Last Updated:** 45/03/2018 18/03/2019 **Group ID:** 13092.
10. **KARAMAN, Aleksandr Akimovich**
DOB: 26/07/1956. **POB:** Cioburciu, Slobozia district, now Republic of Moldova **a.k.a:** CARAMAN , Alexandru **Position:** ~~Plenipotentiary representative of the President of the Pridnestrovian Moldavian Republic to the Russian Federation (Until March 2017)~~ **Other Information:** Former Head of the Administration of the Council of Ministers of the "Donetsk Peoples Republic". Former-so called 'Deputy Prime Minister for Social Issues' of the "Donetsk People's Republic". Associated with Vladimir Antyufeyev. Protégé of Russia's Deputy Prime Minister Dmitry Rogozin. Former "Plenipotentiary representative of the President" of the so-called "Pridnestrovian Moldavian Republic" to the Russian Federation. **Listed on:** 12/09/2014 **Last Updated:** 45/03/2018 18/03/2019 **Group ID:** 13098.
11. **AIRAPETYAN, Larisa Leonidovna**
DOB: 21/02/1970. **a.k.a:** (1) AIRAPETYAN , Larisa (2) AIRAPETYAN, Larysa (3) AYRAPETYAN, Larysa **Position:** Health Minister of the Lugansk People's Republic **Other Information:** Former Health Minister of the "Lugansk People's Republic". **Listed on:** 02/12/2014 **Last Updated:** 20/03/2015 18/03/2019 **Group ID:** 13172.

12. **DEYNEGO, Vladyslav Mykolayovych**

DOB: 12/03/1964. **POB:** Romny, Sumy oblast **a.k.a:** DEYNEGO, Vladislav, Nikolayevich **Position:** ~~Deputy Head of the People's Council of the Lugansk People's Republic~~ "Acting Minister of Foreign Affairs" of the so-called "Lugansk People's Republic" **Other Information:** Former "Deputy Head" of the "People's Council" of the so-called "Lugansk People's Republic" **Listed on:** 02/12/2014 **Last Updated:** 11/04/2017 18/03/2019 **Group ID:** 13182.

13. **SHUBIN, Alexandr Vasilievich**

DOB: (1) 20/05/1972. (2) 30/05/1972. **POB:** Luhansk **Position:** ~~Chairman of the central election commission of the so-called 'Luhansk People's Republic'~~. **Other Information:** Former so-called "Minister of Justice" of the illegal so-called "Luhansk People's Republic". Former Chairman of the "Central Election Commission" of the so-called "Luhansk People's Republic". **Listed on:** 16/02/2015 **Last Updated:** 20/09/2018 18/03/2019 **Group ID:** 13204.

14. **TIMOFEEV, Aleksandr Yurievich**

DOB: 15/05/1971. **POB:** Nevinnomyssk, Stavropol Krai **a.k.a:** TYMOFEYEV, Oleksandr, Yuriyovych **Position:** ~~Minister of Revenue and Taxes of the 'Donetsk People's Republic'~~. **Other Information:** Former so-called "Minister of Finance and Taxes" of the "Donetsk People's Republic". **Listed on:** 16/02/2015 **Last Updated:** 11/04/2017 18/03/2019 **Group ID:** 13208.

15. **KARTAPOLOV, Andrei Valeryevich**

DOB: 09/11/1963. **POB:** GDR (DDR) **Position:** ~~Commander of the Western Military District (since 10 November 2015)~~ Deputy Minister of Defence **Other Information:** Former Commander of the Western Military District. Former Director of the Main Operations Department and deputy chief of the General Staff of the Armed Forces of the Russian Federation. **Listed on:** 16/02/2015 **Last Updated:** 23/03/2016 18/03/2019 **Group ID:** 13215.

Entity

1. DONETSK PEOPLE'S REPUBLIC

a.k.a: Donetskaya Narodnaya Respublika **Other Information:** The so called 'Donetsk People's Republic' was declared on 7 April 2014. On 24 May 2014, the so called 'People's Republics' of Donetsk and Lugansk signed an agreement on the creation of the so called 'Federal State of Novorossiya'. Official information <https://dnr.online.ru/> and ~~<http://av-zakharchenko.su/>~~ <http://denis-pushilin.ru/> and <http://smdnr.ru/> and <https://dnrsovet.su/> **Listed on:** 25/07/2014 **Last Updated:** ~~20/09/2018~~ 18/03/2019 **Group ID:** 13048.

DELISTING

Individual

1. ZAKHARCHENKO, Alexander Vladimirovich

DOB: 26/06/1976. **POB:** Donetsk **a.k.a:** ZAKHARCHENKO, Oleksandr, Volodymyrovych **Position:** Prime Minister of the Donetsk People's Republic **Other Information:** As of 7 August 2014 he replaced Alexander Borodai as Prime Minister of the Donetsk People's Republic. Currently so-called Head of the Donetsk People's Republic. **Listed on:** 12/09/2014 **Last Updated:** ~~11/04/2017~~ 18/03/2019 **Group ID:** 13091.

Financial Reporting Authority

Portfolio of Legal Affairs

18/03/2019

PROCEDURE FOR REMOVING PERSONS FROM THE CONSOLIDATED LIST AND UN-FREEZING ASSETS:

On receipt of the Financial Sanction Notices where an entry has been removed from the consolidated list and is no longer subject to any assets freeze:

“You must immediately on receipt of the Financial Sanction Notice advising of removal of person and/or entity from the Consolidated List –

- i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person or entity is no longer subject to an asset freeze.
- ii. Remove the person or entity from your institution’s list of persons or entities subject to financial sanction;
- iii. Un-freeze the assets of the person or entity and where necessary re-activate all relevant accounts;
- iv. Send advice to person or entity that the assets are no longer subject to an asset freeze;
- v. Advise the FRA at financialreportingauthority@gov.ky of the actions taken.

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