

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

15/03/2018

Ukraine (Sovereignty and Territorial Integrity)

Introduction

1. Council Regulation (EU) 269/2014 (“the Regulation”) imposing financial sanctions against those undermining or threatening the sovereignty and territorial integrity of Ukraine has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

2. 36 entries detailed in the Annex to this notice have been amended and are still subject to an asset freeze.

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;

- ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialreportingauthority@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialreportingauthority@gov.ky by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
 5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

6. On 13 March 2018 Council Implementing Regulation (EU) No 2018/388 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 69, 13.3.2018, p.11 by the Council of the European Union.
7. The Amending Regulation amended Annex I to the Regulation with effect from 14 March 2018.

Further Information

8. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:
<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R0388&from=EN>
9. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
10. Please note there are also import and export restrictions on Ukraine (Sovereignty and Territorial Integrity). Further guidance on export and trade sanctions is available from the GOV.UK website:
<https://www.gov.uk/sanctions-embargoes-and-restrictions>.
11. For more information please see OFSI’s guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

12. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1052
Grand Cayman KY1-1102
Cayman Islands
financialreportingauthority@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)

COUNCIL IMPLEMENTING REGULATION (EU) No 2018/388

AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 269/2014

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. TEMIRGALIEV, Rustam, Ilmirovich

DOB: 15/08/1976. **POB:** Ulan-Ude, Buryat ASSR (Russian SFSR) **a.k.a:** TEMIRHALIEV, Rustam, Ilmyrovych **Position:** *General Director of the Managing Company of the Russian-Chinese Investment Fund for Regional Development* **Other Information:** Former Deputy Minister of Crimea. On 11 June 2014 he resigned from his function as First Deputy Prime Minister of the so called Republic of Crimea. **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 12924.

2. ZIMA, Pyotr, Anatoliyovich

DOB: (1) 18/01/1970 (2) ~~29/03/1965~~ **POB:** Artemivsk, Donetsk Oblast, Ukraine **a.k.a:** ZYMA, Petro, Anatoliyovich **Position:** Head of the Crimean Security Service (SBU) (Since 3 March 2014) **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 12927.

3. OZEROV, Viktor, Alekseevich

DOB: 05/01/1958. **POB:** Abakan, Khakassia **Other Information:** *Former* Chairman of the Security and Defence Committee of the Federation Council of the Russian Federation **Listed on:** 18/03/2014 **Last Updated:** ~~21/09/2015~~ 15/03/2018 **Group ID:** 12912.

4. TOTOONOV, Aleksandr, Borisovich

DOB: 03/04/1957. **POB:** Ordzhonikidze, North Ossetia **Position:** *Member of Parliament of North Ossetia* **Other Information:** *Former* Member of the Committee of International Affairs of

the Federation Council of the Russian Federation **Listed on:** 18/03/2014 **Last Updated:** ~~23/03/2016~~ 15/03/2018 **Group ID:** 12917.

5. KULIKOV, Valery, Vladimirovich

DOB: 01/09/1956. **POB:** Zaporozhye, Ukrainian SSR **Other Information:** *Former* Deputy Commander of the Black Sea Fleet, Rear Admiral. On 26 September 2017, with a Decree of the President of Russian Federation, he was dismissed from this post and from military service
Listed on: 21/03/2014 **Last Updated:** ~~21/03/2014~~ 15/03/2018 **Group ID:** 12940.

6. MEDVEDEV, Valery, Kirillovich

DOB: 21/08/1946. **POB:** Shmakovka, Primorsky region **a.k.a:** MEDVEDIEV, Valeriy, Kyrylovych **Other Information:** *Former* Chair of the Sevastopol Electoral Commission (until 26 May 2017) **Listed on:** 21/03/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 12934.

7. MIZULINA, Elena, Borisovna

DOB: 09/12/1954. **POB:** Bui, Kostroma region **Position:** *Deputy Chairman of the Federation Council Committee on Constitutional Legislation and State Building* **Other Information:** As of September 2015 a Member of the Federation Council from Omsk region. Born under the surname DMITRIYEVA. Former Deputy in the State Duma. **Listed on:** 21/03/2014 **Last Updated:** ~~23/03/2016~~ 15/03/2018 **Group ID:** 12941.

8. PLIGIN, Vladimir, Nikolaevich.

DOB: 19/05/1960. **POB:** Ignatovo, Vologodsk Oblast, USSR **Other Information:** Former Chair of the Duma Constitutional Law Committee. Member of the Supreme Council of the United Russia Party. Former member of the State Duma. **Listed on:** 12/05/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 12967.

9. KOZYURA, Oleg, Grigorievich

DOB: (1) 19/12/1962. (2) 30/12/1965. **POB:** (1) Zaporozhye (2) Simferopol, Crimea **a.k.a:** KOZYURA, Oleh, Hryhorovych **Position:** *Chief of Staff of the Legislative Assembly of Sevastopol* **Other Information:** Former Head of the Federal Migration Service office for Sevastopol. *Former* Assistant to Sevastopol Municipal Council Deputy Mikhail Chaly. **Listed on:** 12/05/2014 **Last Updated:** ~~21/09/2017~~ 15/03/2018 **Group ID:** 12969

10. MALYKHIN, Aleksandr, Sergeevich

DOB: 12/01/1981. **a.k.a:** (1) MALYHIN , Alexander , Sergeevich (2) MALYKHIN, Oleksandr, Serhiyovych **Other information:** Former Head of the Lugansk People's Republic Central Electoral Commission. *Remains active in supporting separatist policies.* **Listed on:** 12/05/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 12976.

11. BASHIROV, Marat, Faatovich

DOB: 20/01/1964. **POB:** Izhevsk, Russian Federation **Other Information:** Former Prime Minister of the Council of Ministers of the Lugansk People's Republic. *Continues activities of supporting LNR separatist structures.* **Listed on:** 12/07/2014 **Last Updated:** ~~21/09/2015~~ 15/03/2018 **Group ID:** 13013.

12. PLOTNITSKY, Igor

DOB: (1) 24/06/1964. (2) 25/06/1964. (3) 26/06/1964. **POB:** ~~Lugansk~~, Luhansk possibly in Kelmentsi, Chernivtsi Oblast **a.k.a:** (1) PLOTNITSKII , Igor, Venediktovich (2) PLOTNYTSKYI, Ihor, (*Igor*) Venedyktovych **Other Information:** Former Defence Minister and currently so-called Head of the Lugansk People's Republic. *Continues to perform governmental activities as the Special Envoy of the Lugansk People's Republic on Minsk implementation* **Listed on:** 12/07/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13017.

13. GRYZLOV, Boris, Vyacheslavovich

DOB: 15/12/1950. **POB:** Vladivostok **Position:** *Chairman of the Supreme Council of the United Russia Party* **Other Information:** Former Permanent member of the Security Council of the Russian Federation. **Listed on:** 25/07/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13039.

14. BEREZIN, Fyodor, Dmitrievich

DOB: 07/02/1960. **POB:** Donetsk **a.k.a:** BEREZIN, Fedir, Dmytrovych **Position:** *Chairman of the Board of DNR Writers' Union* **Other Information:** Former Deputy Defence Minister of the Donetsk People's Republic *Remains active in supporting separatist actions and policies.* **Listed on:** 25/07/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13064.

15. LITVINOV, Boris, Alekseevich

DOB: 13/01/1954. **POB:** Dzerzhynsk, Donetsk Oblast **a.k.a:** LYTVYNOV, Borys, Oleksiyovych **Position:** *Leader of Communist Party of DNR* **Other Information:** *Former Member of the People's Council. Former Chairman of the Supreme Council of the Donetsk People's Republic.* **Listed on:** 31/07/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13070.

16. KONONOV, Petrovich Vladimir

DOB: 14/10/1974. **POB:** Gorsky *Luhansk Oblast* **a.k.a:** KONONOV, Volodymyr, Petrovych **Position:** Defence Minister *of the Donetsk People's Republic* **Other Information:** As of 14 August 2014 he replaced Igor Strelkov/Girkin as the Defence Minister of the Donetsk People's Republic. He has reportedly commanded a division of separatist fighters in Donetsk since April 2014. Also known as 'Tsar'. **Listed on:** 12/09/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13092.

17. KARAMAN, Aleksandr, Akimovich

DOB: 26/07/1956. **POB:** Cioburciu, Slobozia district, now Republic of Moldova **a.k.a:** CARAMAN, Alexandru **Position:** *Plenipotentiary representative of the President of the Pridnestrovian Moldavian Republic to the Russian Federation (Until March 2017)* **Other Information:** *Former* Head of the Administration of the Council of Ministers of the Donetsk Peoples Republic. Former-so called 'Deputy Prime Minister for Social Issues' of the 'Donetsk People's Republic'. Associated with Vladimir Antyufeyev. Protégé of Russia's Deputy Prime Minister Dmitry Rogozin. **Listed on:** 12/09/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13098.

18. VASILYEV, Vladimir, Abdaliyevich

DOB: 11/08/1949. **POB:** Klin **Position:** *Acting Head of Republic of Dagestan in October 2017 by Presidential decree* **Other Information:** *Former* Deputy Speaker of the State Duma **Listed on:** 12/09/2014 **Last Updated:** ~~12/09/2014~~ 15/03/2018 **Group ID:** 13105.

19. NIKITIN, Vladimir, Stepanovich

DOB: 05/04/1948. **POB:** OPOCHKA **Position:** *Member of the Presidium of the Central Committee of the Communist Party of the Russian Federation* **Other Information:** Former First Deputy Chairman of the Committee *for CIS Affairs, on Relations with CIS Countries*, Eurasian

Integration and Links with Compatriots of the State Duma **Listed on:** 12/09/2014 **Last Updated:** ~~21/09/2015~~ 15/03/2018 **Group ID:** 13108.

20. LEBEDEV, Oleg, Vladimirovich

DOB: 21/03/1964. **POB:** Rudny, Kostanai region, Kazakh SSR **Other Information:** *Former* First Deputy Chairman of the Committee *for CIS Affairs on Relations with CIS Countries*, Eurasian Integration and Links with Compatriots of the State Duma *Remains active in supporting separatist policies* **Listed on:** 12/09/2014 **Last Updated:** ~~21/09/2015~~ 15/03/2018 **Group ID:** 13109.

21. BABAKOV, Alexander, Mikhailovich

DOB: 08/02/1963. **POB:** Chisinau **Position:** *Deputy Chairman of the Committee on Foreign Affairs* **Other Information:** *He is a Former member of the State Duma. Former State Duma Deputy, Chair of the State Duma Commission on Legislative Provisions for Development of the Military-Industrial Complex of the Russian Federation.* A prominent member of United Russia, and a businessman with heavy investments in Ukraine and in Crimea. Member of the Federation Council of the Russian Federation. **Listed on:** 12/09/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13124.

22. SIVOKONENKO, Yuriy, Viktorovich

DOB: 07/08/1957. **POB:** *Stalino City (now Donetsk)* **a.k.a:** (1) SIVOKONENKO , Yuriy (2) SIVOKONENKO, Yury (3) SYVOKONENKO, Yury **Position:** *Chairman of the public association Union of Veterans of the Donbass Berkut* **Other Information:** Member of the Parliament of the Donetsk People's Republic. *He remains a member of the People's Council of the Donestsk People's Republic. Also works in the Union of veterans of the Donbass Berkut.* **Listed on:** 02/12/2014 **Last Updated:** ~~21/09/2015~~ 15/03/2018 **Group ID:** 13173.

23. KHALIKOV, Ravil, Zakariyevich

DOB: 23/02/1969. **POB:** Belozere village, Romodanovskiy rayon, USSR **a.k.a:** KHALIKOV, Ravil, Zakariyovych **Position:** *Aide to the Head of the Moscow Branch of the Investigative Committee of Russian Federation (GSU SK)* **Other Information:** Former so-called First Deputy Prime Minister and previous Prosecutor-General of the Donetsk People's Republic. **Listed on:** 02/12/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13175.

24. SEMYONOV, Dmitry, Aleksandrovich

DOB: 03/02/1963. **POB:** Moscow **a.k.a:** SEMENOV, Dmitrii, Aleksandrovich **Other Information:** Former Deputy Prime Minister for Finances of the so called Lugansk People's Republic. *Remains active in supporting LNR separatist structures* **Listed on:** 02/12/2014 **Last Updated:** ~~23/03/2016~~ 15/03/2018 **Group ID:** 13176

25. IGNATOV, Sergey, Yurevich

DOB: 07/01/1967. **POB:** Michurinsk, Tambov oblast **Position:** *Commander of 8th Army of the Russian Armed Force* **Other Information:** Also referred to as KUZOVLEV and TAMBOV. *Former* Commander in Chief of the People's Militia of the Luhansk People's Republic **Listed on:** 16/02/2015 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13206.

26. BESEDINA, Olga, Igoreva

DOB: 10/12/1976. **POB:** ~~Lugansk~~ *Luhansk* **Position:** *Head of the Foreign Economy Department at the Office of the Head of the Luhansk Administration* **a.k.a:** BESEDINA, Olha, Ihorivna **Other Information:** Former so called Minister of Economic Development and Trade of the so called Lughansk People's Republic **Listed on:** 16/02/2015 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13211.

27. ISMAILOV, Zaur, Raufovich

DOB: (1) 23/03/1975. (2) 25/07/1978. **POB:** Krasny Luch, Voroshilovgrad Lugansk *region* **a.k.a:** ISMAYILOV, Zaur, Raufovych **Other Information:** *Former* General Prosecutor of the Lugansk People's Republic (*until October 2017*) **Listed on:** 16/02/2015 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13212.

28. ANTONOV, Anatoly, Ivanovich

DOB: 15/05/1955. **POB:** Omsk **Position:** *Ambassador in Diplomatic Corps of Russian Federation* **Other Information:** Former Deputy Minister of Defence. *Former* Deputy Minister of Foreign Affairs as of 28 Dec 2016 **Listed on:** 16/02/2015 **Last Updated:** ~~21/09/2017~~ 15/03/2018 **Group ID:** 13213.

29. BAKHAREV, Konstantin, Mikhailovich

DOB: 20/10/1972. **POB:** Simferopol, Ukrainian SSR **Position:** *First Deputy Chairperson of the State Council of the Republic of Crimea* **Other Information:** *Appointed as a Deputy*

Chairperson of the State Council of Republic of Crimea in March 2014 Member of the State Duma, elected from the illegally annexed Autonomous Republic of Crimea. Member of the Duma Committee on ~~Financial Markets~~ *Control and Regulation*. **Listed on:** 09/11/2016 **Last Updated:** ~~09/11/2016~~ 15/03/2018 **Group ID:** 13391.

30. BELIK, Dmitry, Anatolievich

Title: ~~Mr~~ **DOB:** 17/10/1969. **POB:** Kular Ust-Yansky District, Yakut, Autonomous SSR **Other Information:** Member of the State Duma, elected from the illegally annexed City of Sevastopol. Member of the Duma Committee on ~~Taxation~~ *Control and Regulation* **Listed on:** 09/11/2016 **Last Updated:** ~~09/11/2016~~ 15/03/2018 **Group ID:** 13392.

31. TOPOR-GILKA, Sergey, Anatolevich

DOB: 17/02/1970. **Position:** Director General of OOO 'VO TPE' **Other Information:** Director General of OAO 'VO TPE' until its insolvency. In his capacity as Director General of ~~OOO~~ OAO 'VO TPE' he led the negotiations with Siemens Gas Turbine Technologies OOO regarding the purchase and delivery of the gas turbines for a power plant in Taman, Krasnodar region, Russian Federation. **Listed on:** 04/08/2017 **Last Updated:** ~~04/08/2017~~ 15/03/2018 **Group ID:** 13523.

Entities

1. LUGANSK PEOPLE'S REPUBLIC

a.k.a: Luganskaya Narodnaya Respublika **Other Information:** The so called Lugansk People's Republic was established on 27 April 2014. On 22 May 2014, the so called 'People's Republics' of Donetsk and Lugansk created the so called 'Federal State of Novorossiya'. Official Website <http://lugansk-online.info> ~~https://glava-lnr.su/content/konstituciya~~ and <https://glava-lnr.info/> ~~Phone number +38 099 160 74 14.~~ **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 15/03/2018 **Group ID:** 13047.

2. DONETSK PEOPLE'S REPUBLIC

a.k.a: Donetskaya Narodnaya Respublika **Other Information:** The so called Donetsk People's Republic was declared on 7 April 2014. On 24 May 2014, the so called 'People's Republics' of Donetsk and Lugansk signed an agreement on the creation of the so called 'Federal State of Novorossiya'. Official information including the Constitution of Donetsk People's Republic and

the composition of the Supreme Council ~~http://dnr-news.com~~ <https://dnr.online.ru/> **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 15/03/2018 **Group ID:** 13048.

3. FEDERAL STATE OF NOVOROSSIYA

a.k.a: Federativnoye Gosudarstvo Novorossiya **Other Information:** On 24 May 2014, the so called 'People's Republics' of Donetsk and Lugansk signed an agreement on the creation of the unrecognized so called 'Federal State of Novorossiya'. Official press releases <http://novorossia.su/official> and <http://frn2016.netdo.ru/> <https://www.novorosinform.org/> and <http://novopressa.ru/> and <http://novorossia-tv.ru/> and <http://novorossia.today/> and <http://novorossia.ru/> **Listed on:** 25/07/2014 **Last Updated:** ~~24/09/2017~~ 15/03/2018 **Group ID:** 13050.

4. STATE UNITARY ENTERPRISE OF THE REPUBLIC OF CRIMEA SPARKLING WINE PLANT NOVY SVET

a.k.a. *Joint-stock company Sparkling wine plant 'Novy Svet'* **Address:** 298032, Crimea, Sudak, Urban Village Novy Svet, Str. ~~Shalaping~~ *Shalapina* 1. **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as Factory Of Sparkling Wine Novy Svet. Reregistered on 4 January 2015 as State Unitary Enterprise Of The Republic Of Crimea Factory Of Sparkling Wine Novy Svet. Founder: The Ministry of Agriculture of the Republic of Crimea. **Listed on:** 25/07/2014 **Last Updated:** ~~24/09/2017~~ 15/03/2018 **Group ID:** 13062.

5. JOINT-STOCK COMPANY CONCERN ALMAZ-ANTEY **a.k.a:** (1) Almaz-Antey Corp. (2) Almaz-Antey Defense Corporation (3) Almaz-Antey JSC (4) *Almaz-Antey Concern* **Address:** 41 ul. Vereiskaya, Moscow, Russia, 121471. **Other Information:** Also known as Joint-Stock Company Almaz-Antey Air and Space Defence Corporation. A Russian state-owned joint stock company. Website: almaz-antey.ru. *Email address* antey@almaz-antey.ru **Listed on:** 31/07/2014 **Last Updated:** ~~11/04/2017~~ 15/03/2018 **Group ID:** 13076.

Financial Reporting Authority

Portfolio of Legal Affairs

15/03/2018