

# FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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**Financial Sanctions Notice**

**02/02/2018**

## Democratic Republic of the Congo

### Introduction

1. The United Nations Security Council's sanctions list has been updated.

### Notice summary (Full details are provided in the Annex to this Notice)

2. By virtue of the United Nations and European Union Financial Sanctions (Linking) Regulations 2017, the following 4 entries listed in the Annex to this Notice been added to the consolidated list and are now subject to an asset freeze.

### Legislative Details

3. On 1 February 2018, the United Nations Security Council Committee established by Resolution 2360 (2017) concerning the Democratic Republic of the Congo approved the addition of 4 entries to its list of individuals and entities subject to an asset freeze.

4. Unless the DP's are listed under EU Regulation 1183/2005 by 2 March 2018 the asset freeze will cease to apply from 11:59 p.m. on 2 March 2018 until the date upon which the DP is listed by the EU.

### **What you must do**

5. You must:
  - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
  - ii. freeze such accounts, and other funds or economic resources;
  - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
  - iv. report any findings to the FRA at [financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky), together with any additional information that would facilitate compliance with the Regulation;
  - v. provide any information concerning the frozen assets of designated persons to the FRA at [financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky) by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

### **Further Information**

7. The UN's press release describing these changes can be found here:  
<https://www.un.org/press/en/2018/sc13194.doc.htm>
8. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:  
<https://www.un.org/sc/suborg/en>.
9. Copies of recent Notices, UNSC Resolutions and UK legislation can be obtained from the Democratic Republic of the Congo financial sanctions page on the GOV.UK website:  
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

10. For more information please see OFSI's guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

11. Information on the UK's controls on strategic goods and countries subject to an arms embargo can be found here:

<https://www.gov.uk/guidance/export-military-or-dual-use-goods-services-or-technology-special-rules>

### **Enquiries**

12. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator  
Financial Reporting Authority  
P.O. BOX 1052  
Grand Cayman KY1-1102  
Cayman Islands  
[financialreportingauthority@gov.ky](mailto:financialreportingauthority@gov.ky)

## ANNEX TO NOTICE

### FINANCIAL SANCTIONS: THE DEMOCRATIC REPUBLIC OF THE CONGO

#### Individuals

1. **KANONGA Gedeon Kyungu Mutanga Wa Bafunkwa**

**DOB:** --/--/1974. **POB:** Manono Territory, Katanga Province (now Tanganyika Province)

**Position:** Katangan rebel leader **Other Information:** UN Ref CDi.035. [Listing to be treated as temporary for 30 days from the date of listing by the UN or until the EU adds the new listing to an existing sanctions regulation (whichever is sooner) in accordance with Policing and Crime Act 2017]. Belongs to the Balubakat ethnic group. **Listed on:** 02/02/2018 **Last Updated:** 02/02/2018 **Group ID:** 13607.

2. **MUNDOS Muhindo Akili**

**DOB:** 10/11/1972. **POB:** Democratic Republic of the Congo **a.k.a:** (1) MUHINDO, Akili (2) MUNDOS, Charles, Muhindo, Akili (3) MUNDOS, Muhindo **Nationality:** Democratic Republic of the Congo **Position:** a) DRC Armed Forces (FARDC) General, Commander of the 31st Brigade, b) FARDC Brigadier General **Other Information:** UN Ref CDi.032. [Listing to be treated as temporary for 30 days from the date of listing by the UN or until the EU adds the new listing to an existing sanctions regulation (whichever is sooner) in accordance with Policing and Crime Act 2017] **Listed on:** 02/02/2018 **Last Updated:** 02/02/2018 **Group ID:** 13604.

3. **MWISSA Guidon Shimiray**

**DOB:** 13/03/1980. **POB:** Kigoma, Walikale, Democratic Republic of the Congo **Other Information:** UN Ref CDi.033. [Listing to be treated as temporary for 30 days from the date of listing by the UN or until the EU adds the new listing to an existing sanctions regulation (whichever is sooner) in accordance with Policing and Crime Act 2017] **Listed on:** 02/02/2018 **Last Updated:** 02/02/2018 **Group ID:** 13605.

4. **NZAMBAMWITA Lucien**

**DOB:** --/--/1966. **POB:** Cellule Nyagitabire, Sector Ruvune, Commune Kinyami, Prefecture Byumba, Rwanda **a.k.a:** KALUME, Andre **Nationality:** Rwanda **Other Information:** UN Ref CDi.034. [Listing to be treated as temporary for 30 days from the date of listing by the UN or

until the EU adds the new listing to an existing sanctions regulation (whichever is sooner) in accordance with Policing and Crime Act 2017] **Listed on:** 02/02/2018 **Last Updated:** 02/02/2018 **Group ID:** 13606.

Financial Reporting Authority

Portfolio of Legal Affairs

02/02/2018