

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

16/04/2018

Democratic Republic of the Congo

Introduction

1. Council Regulation (EC) 1183/2005 ("the Regulation") imposing financial sanctions with regard to the Democratic Republic of the Congo has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

2. The following entries have been amended and are still subject to an asset freeze:
 - MUPOMPA, Alex Kande (Group ID: 13461)

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources or the persons set out in the Annex to this Notice;

- ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialreportingauthority@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialreportingauthority@gov.ky by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
 5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

6. On 13 April 2018 Commission Implementing Regulation (EU) No 2018/566 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 95, 13.4.2018, p.9) by the Council of the European Union.
7. The Amending Regulation amended Annex Ia to the Regulation with effect from 14 April 2018.

Further Information

8. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R0566&from=EN>
9. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Democratic Republic of the Congo financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

10. Further details on the UN measures in respect of Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage: <http://www.un.org/sc/committees>.
11. Please note there are also import and export restrictions on Democratic Republic of the Congo. Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.
12. For more information please see OFSI's guide to financial sanctions: <https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

13. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1052
Grand Cayman KY1-1102
Cayman Islands
financialreportingauthority@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO

COMMISSION IMPLEMENTING REGULATION (EU) No 2018/566

AMENDING ANNEX Ia TO COUNCIL REGULATION (EU) No 1183/2005

AMENDMENT

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individual

MUPOMPA Alex Kande

DOB: 23/09/1950. **POB:** Katanga, DRC **a.k.a:** (1) KANDE-MUPOMPA (2) MUPOMBA, Alexandre, Kande **Nationality:** DRC and Belgian nationalities **Passport Details:** DRC passport OP 0024910 (valid 21/03/2016 - expiry 20/03/2021) **Address:** Messidorlaan 217/25, 1180 Uccle, Belgium. **Position:** ~~Governor of Kasai Central~~ **Other Information:** EU listing. *Former Governor of Kasai Central* **Listed on:** 30/05/2017 **Last Updated:** 30/05/2017 16/04/2018
Group ID: 13461.

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