

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



Delivery Address:

133 Elgin Ave, 4th Floor
Government Administrative Building
Grand Cayman
CAYMAN ISLANDS
Direct Tel No. (345) 244-2394
Tel No. (345) 945-6267
Fax No. (345) 945-6268
Email: financialsanctions@gov.ky

Mailing Address:

P.O. Box 1054
Grand Cayman KY1-1102
CAYMAN ISLANDS

Financial Sanctions Notice

13/11/2020

Venezuela

Introduction

1. Council Regulation (EU) 2017/2063 (“the Regulation”) imposing financial sanctions against Venezuela has been amended.
2. Identifying information for the individuals listed in the Annex to this Notice has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

3. 16 entries have been amended on the consolidated list and are still subject to an asset freeze:

What you must do

4. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;

- iv. report any findings to the FRA at financialsanctions@gov.ky , together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF) available from the [FRA website](#). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
5. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
 6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

7. On 13 November 2020 Council Implementing Regulation (EU) 2020/1696 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 381, 13.11.2020, p.8) by the Council of the European Union.
8. The Amending Regulation amended Annex IV to the Regulation with effect from 13 November 2020.

Further Information

9. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:
<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32020R1696&from=EN>
10. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Venezuela financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
11. Please note there are also import and export restrictions on Venezuela. Further guidance on export and trade sanctions is available from the GOV.UK website:
<https://www.gov.uk/sanctions-embargoes-and-restrictions>.
12. For more information please see OFSI’s guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>
13. For general information on financial sanctions please see our Financial Sanctions Guidance
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

14. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: VENEZUELA

COUNCIL IMPLEMENTING REGULATION (EU) 2020/1696

AMENDING ANNEX IV TO COUNCIL REGULATION (EU) 2017/2063

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. **REVEROL TORRES, Nestor Luis**

DOB: 28/10/1964. **Position:** (1) Minister for Interior, Justice and Peace since 2016 (2) Vice-President of Public Works and Services and Executive Secretary of the Electrical General Staff since April 2019 **Other Information:** Gender: male. Former Commander General of the Bolivarian National Guard. *Promoted to General in Chief of the Bolivarian National Guard in August 2020.* Responsible for the torture of political prisoners and repression of *the democratic opposition in Venezuela, including the prohibition and repression of* political demonstrations. **Listed on:** 22/01/2018 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13580.

2. **GONZALEZ LOPEZ, Gustavo Enrique**

DOB: 02/11/1960. **Position:** Head of the Bolivarian National Intelligence Service (SEBIN), reappointed on 30 April 2019 **Other Information:** Gender: male. Formerly Security and Intelligence Adviser for the President's office from 8 January 2019 to 30 April 2019. Head of SEBIN until October 2018. Responsible for arbitrary detention, inhuman and degrading treatment, torture, and the repression of civil society *and the democratic opposition in Venezuela.* **Listed on:** 22/01/2018 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13581.

3. **LUCENA RAMIREZ, Tibisay**

DOB: 26/04/1959. **Position:** ~~President of the National Electoral Council (Consejo Nacional Electoral (CNE))~~ **Other Information:** Gender: female. *President of the National Electoral Council (Consejo Nacional Electoral (CNE)) from April 2006 until June 2020.* Failed to ensure that the CNE remained an impartial and independent institution in accordance with the Venezuelan Constitution. Facilitated the establishment of the Constituent Assembly and re-election of Nicolas Maduro in May 2018 through presidential elections that were neither free nor fair. **Listed on:** 22/01/2018 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13582.

4. **MORENO PEREZ, Maikel Jose**

DOB: 12/12/1965. **Position:** President of the Supreme Court of Justice of Venezuela (Tribunal Supremo de Justicia) **Other Information:** Gender: male. Former Vice President of the Supreme Court of Justice of Venezuela. Responsible for actions and

statements that have usurped the authority of the National Assembly, including the appointment of the National Electoral Council (CNE) in June 2020 and the suspension and replacement of the leadership boards of three opposition parties in June and July 2020. Listed on: 22/01/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13584.

5. **EL-AISSAMI MADDAH, Tareck Zaidan**

DOB: 12/11/1974. **Position:** (1) Vice President of Economy (2) Minister for National Industry and Production (3) Minister of the Popular Power of Petroleum **Other Information:** Gender: male. Former Vice President of Venezuela with oversight of the Bolivarian National Intelligence Service (SEBIN). Responsible for arbitrary detention, politically motivated investigations, inhumane and degrading treatment, torture, and the prohibition of public demonstrations. Heading President Maduro's 'anti-coup command' which has targeted civil society and the democratic opposition. Listed on: 26/06/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13679.

6. **SUAREZ CHOURIO, Jesus Rafael**

DOB: 19/07/1962. **Position:** ~~(1) Commander in Chief of the Venezuelan Bolivarian National Army (2) Chief of the General Staff to the Commander-in-Chief~~ **Other Information:** Gender: male. Former Chief of the General Staff to the Commander-in-Chief. Former General Commander of the Venezuelan Bolivarian National Army. Former Commander of Venezuela's Comprehensive Defence Region of the Central Zone (REDI Central). Responsible for the use of excessive force and the mistreatment of detainees. Targeted the democratic opposition and supported the use of military courts to try civilian protestors. Listed on: 26/06/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13683.

7. **RODRIGUEZ GOMEZ, Delcy Eloina**

DOB: 18/05/1969. **Position:** Vice President of the ~~Bolivarian Republic of Venezuela~~ **Other Information:** Gender: female. Former President of the illegitimate Constituent Assembly and former member of the Presidential Commission for the illegitimate National Constituent Assembly. Usurped the powers of the National Assembly and used them to target the opposition and prevent them taking part in the political process. Listed on: 26/06/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13687.

8. **OBLITAS RUZZA, Sandra**

DOB: 07/06/1969. **Position:** ~~(1) Vice President of National Electoral Council (CNE) (2) President of the Commission of the Electoral and Civilian Register~~ Rector of the Universidad Bolivariana de Venezuela **Other Information:** Gender: female. Former Vice President of the National Electoral Council (CNE). Former President of the Commission of the Electoral and Civilian Register. Facilitated the establishment of the illegitimate Constituent Assembly and manipulation of the electoral process. Listed on: 26/06/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13690.

9. **HERNANDEZ HERNANDEZ, Socorro Elizabeth**

DOB: 11/03/1952. **Other Information:** Gender: female. Member (Rector) of the National Electoral Council (CNE) until 12 June 2020 and member of the National Electoral Board (JNE). Facilitated the establishment of the illegitimate Constituent Assembly and the manipulation of the electoral process in relation to a cancelled presidential recall election in 2016, postponement of gubernatorial elections in 2016, and the relocation of polling stations at short notice before gubernatorial elections in 2017. Listed on: 26/06/2018 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13695.

10. MORENO REYES, Xavier Antonio

Position: Secretary General of the National Electoral Council (CNE) **Other Information:** Gender: male. Secretary-General of the National Electoral Council (CNE) from 2009 until June 2020. Facilitated the establishment of the illegitimate Constituent Assembly and manipulation of the electoral process. **Listed on:** 26/06/2018 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13696.

11. ESCALONA MARRERO, Alexis Enrique

DOB: 12/10/1962. **Position:** Chief in Charge of the National Office Against Organized Crime and Terrorist Financing (ONDOFT) **Other Information:** Gender: male. Chief in Charge of the National Office Against Organized Crime and Terrorist Financing (ONDOFT) from January 2018 until May 2019. National Commander of the National Anti-Extortion and Kidnapping Command (Comando Nacional Antiextorsion y Secuestro (CONAS) between 2014 and 2017. Responsible for torture, excessive use of excessive force, the mistreatment of detainees, and repression of civil society. **Listed on:** 27/09/2019 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13794.

12. FRANCO QUINTERO, Rafael Antonio

DOB: 14/10/1973. **National Identification no:** V-11311672 **Position:** Head of Security at Maiquetia International Airport Agent in the Bolivarian National Intelligence Service (SEBIN) **Other Information:** Gender: male. Agent in the Bolivarian National Intelligence Service (SEBIN). Head of Investigations at the Directorate-General of Military Counter-Intelligence (Direccion General de Contrainteligencia Militar (DGCIM)) between at least 2017 and December 2018. Responsible for torture, excessive use of excessive force and the ill treatment of detainees in DGCIM facilities. Responsible for the repression of civil society and democratic opposition. Linked to the death of Captain Acosta. **Listed on:** 27/09/2019 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13795.

13. GRANKO ARTEAGA, Alexander Enrique

DOB: 25/03/1981. **National Identification no:** V-14970215 **Position:** Head (Director) of the Special Affairs Division (DAE) of the Directorate-General of Military Counter-Intelligence (Direccion General de Contrainteligencia Militar (DGCIM)) **Other Information:** Gender: male. Promoted to the rank of Lieutenant Colonel of the Bolivarian National Guard on 1 July 2020. Committed serious human rights violations, including torture, excessive use of excessive force causing death and injury and the ill-treatment of detainees in DGCIM facilities. Directly involved in the repression of civil society. Linked to the death of Captain Acosta. **Listed on:** 27/09/2019 **Last Updated:** ~~04/08/2020~~ 13/11/2020 **Group ID:** 13796.

14. ORNELAS FERREIRA, Jose Adelino

DOB: 14/12/1964. **POB:** Caracas, Distrito Capital, Venezuela a.k.a: (1) ORNELLA FERREIRA, Jose, Adelino (2) ORNELLAS FERREIRA, Jose, Adelino **National Identification no:** V-7087964 **Position:** (1) Secretary-General of the National Defence Council since 26 July 2019 (2) Chief of the General Staff to the Commander-in-Chief since September 2020 **Other Information:** Gender: male. Former commander of the National Capital Integral Strategic Defence Region (REDI Capital). Former Chief of Staff and former Second-in-Command of the Operational and Strategic Command of the Bolivarian National Armed Forces of Venezuela (CEOFANB). In these roles he has supported and facilitated actions and policies of the Government of Venezuela. Responsible for obstructing the provision of humanitarian aid and the use of excessive force by officials of the Bolivarian Armed Forces (FANB) and by subordinate forces under his command,

including the Integral Strategic Defence Region (REDI), the Integral Defence Operations Zone (ZODI) and the Bolivarian National Guard. Listed on: 30/06/2020 Last Updated: ~~04/08/2020~~ 13/11/2020 Group ID: 13841.

15. DUARTE, Franklyn Leonardo

DOB: 15/05/1977. **National Identification no:** V-3304045 **Position:** First Vice-President of the National Assembly (illegitimately elected) **Other Information:** Gender: male. Member of the National Assembly. Staged his election as the first Vice-President of the National Assembly on 5 January 2020. The election took place while entry of several parliamentarians to the premises of the National Assembly was blocked by military police, and without a quorum being reached. **Listed on:** 30/06/2020 **Last Updated:** ~~30/06/2020~~ 13/11/2020 **Group ID:** 13850.

16. NORIEGA FIGUEROA, Jose Gregorio

DOB: 21/02/1969. **National Identification no:** V-8348784 **Position:** (1) Second Vice-President of the National Assembly (illegitimately elected) (2) Director of the ad-hoc board of political party Voluntad Popular (illegitimately appointed) **Other Information:** Gender: male. Member of the National Assembly. Staged his election as the second Vice-President of the National Assembly on 5 January 2020. The election took place while entry of several parliamentarians to the premises of the National Assembly was blocked by military police, and without a quorum being reached. In July 2020, Noriega, helped by the Venezuelan Supreme Court of Justice (Tribunal Supremo de Justicia (TSJ)), illegitimately took over the leadership of the political party Voluntad Popular, thereby further undermining democracy in Venezuela. **Listed on:** 30/06/2020 **Last Updated:** ~~30/06/2020~~ 13/11/2020 **Group ID:** 13851.

Financial Reporting Authority

Portfolio of Legal Affairs

13/11/2020