

# FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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CAYMAN ISLANDS

**Financial Sanctions Notice**

**06/04/2022**

## Russia

### Introduction

1. The Russia (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/855) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies involved in destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine, or obtaining a benefit from or supporting the Government of Russia.
2. On 6 April 2022 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

### Notice summary

4. 10 entries have been added to the consolidated list and are now subject to an asset freeze.
5. The following entries have been amended and are still subject to an asset freeze:
  - GAZPROMBANK (Group ID: 15015)

- RUSSIAN AGRICULTURAL BANK (Group ID: 15016)
- PUBLIC JOINT STOCK COMPANY “UNITED AIRCRAFT CORPORATION” (Group ID: 14186)
- JSC RESEARCH AND PRODUCTION CORPORATION URALVAGONZAVOD (Group ID: 14188)
- VEB.RF (Group ID: 14198)
- VTB BANK (PUBLIC JOINT-STOCK COMPANY) (Group ID: 14195)

### **What you must do**

6. You must:
  - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
  - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
  - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
  - iv. report any findings to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky), together with any additional information that would facilitate compliance with the Regulation;
  - v. provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
7. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
8. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

### **Further Information**

9. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Russia financial sanctions page on the Gov.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

10. The Consolidated List can be found here:

<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>

11. The UK Sanctions List can be found here:

<https://www.gov.uk/government/publications/the-uk-sanctions-list>

12. For more information please see OFSI's guide to financial sanctions:

<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

13. For general information on financial sanctions please see our Financial Sanctions Guidance

<http://fra.gov.ky/app/webroot/files/FRA%20Financial%20Sanctions%20Guidance%20updated%20December%2029%202021%202.pdf>

14. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator  
Financial Reporting Authority  
P.O. BOX 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[financialsanctions@gov.ky](mailto:financialsanctions@gov.ky)

## ANNEX TO NOTICE

### FINANCIAL SANCTIONS: RUSSIA

#### THE RUSSIA (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/855)

#### ADDITIONS

##### Individuals

##### 1. **IVANOV, Sergei Sergeivich**

**DOB:** 23/10/1980. **a.k.a:** (1) IVANOV JR., Sergey (2) IVANOV, Sergey, Sergeivich  
**Nationality:** Russia **Passport Number:** 759511560 **Passport Details:** Country: Russia, Issue Date: 29/10/18, Expire Date: 29/10/2028 **Address:** Apt 13, 12 BLD, 1 Rochdelskaya Street, Moscow, Russia, 123002. **Other Information:** (UK Sanctions List Ref): RUS1120 (UK Statement of Reasons): Designated for the purposes of an asset freeze and a travel ban under the Russia (Sanctions) (EU Exit) Regulations 2019. The designation is made as a designation by name under the urgent procedure. The relevant provision by reference to which the Minister considers that condition B is met is Canada's Special Economic Measures (Russia) Regulations. The purposes of this provision correspond or are similar to the purposes of the UK's Russia (Sanctions) (EU Exit) Regulations 2019, which have as their purposes to encourage Russia to cease actions destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. The Minister considers that it is in the public interest to designate (condition C). (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15068.

##### 2. **ROTENBERG, Boris Borisovich**

**DOB:** 19/05/1986. **a.k.a:** ROTENBERG JUNIOR, Boris **Nationality:** (1) Finland (2) Russia  
**Address:** 46 Cadogan Lane, London, United Kingdom, SW1X9DX. **Other Information:** (UK Sanctions List Ref): RUS1121 (UK Statement of Reasons): Designated for the purposes of an asset freeze and a travel ban under the Russia (Sanctions) (EU Exit) Regulations 2019. The designation is made as a designation by name under the urgent procedure. The relevant provision by reference to which the Minister considers that condition B is met is Canada's Special Economic Measures (Russia) Regulations. The purposes of this provision correspond or are similar to the purposes of the UK's Russia (Sanctions) (EU Exit) Regulations 2019, which have as their purposes to encourage Russia to cease actions destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. The Minister considers that it is in the public interest to designate (condition C). (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15069.

##### 3. **AKIMOV, Andrey Igorevich**

**Name (non-Latin script):** Андрей Игоревич Акимов

**DOB:** 22/09/1953. **POB:** Leningrad (St Petersburg), Russia **a.k.a:** AKIMOV, Andrei, Igorevich **Nationality:** Russia **Position:** (1) Chairman of the Management Board and Board member for Gazprombank (2) Member of the Board of Directors of Novatek (3) Member of the Board of Directors of Gazprom **Other Information:** (UK Sanctions List

Ref): RUS1122 (UK Statement of Reasons): Andrey Igorevich AKIMOV (hereafter AKIMOV) is a Russian businessman who holds senior positions in several Russian companies. AKIMOV is Chairman of the Management Board and Deputy Chairman of the Board of Directors at Gazprombank. Gazprombank is an entity carrying on business in a sector of strategic significance to the Government of Russia, namely the Russian financial services sector. AKIMOV is a member of the Board of Directors for Novatek. Novatek is an entity carrying on business in a sector of strategic significance to the Government of Russia, namely the Russian energy sector. AKIMOV is a member of the Gazprom Board of Directors. Gazprom is an entity that is controlled directly by the Government of Russia and therefore a Government of Russia-affiliated entity. AKIMOV is or has been involved in obtaining a benefit from or supporting the Government of Russia through working as a director of entities that are carrying on business in a sector of strategic significance to the Government of Russia, and working as a director of a Government of Russia-affiliated entity. (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15071.

**4. DYUKOV, Alexander Valeryevich**

**Name (non-Latin script):** Александр Валерьевич Дюков

**DOB:** 03/12/1967. **POB:** St Petersburg, Russia **Nationality:** Russia **Position:** (1) Chair of the Management Board (2) General Director at PJSC Gazprom Neft **Other Information:** (UK Sanctions List Ref): RUS1123 (UK Statement of Reasons): Alexander DYUKOV is a prominent Russian businessman. DYUKOV is involved in obtaining a benefit from or supporting the Government of Russia by working as a director of PJSC Gazprom Neft, a Government of Russia-affiliated entity. (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15074.

**5. KOGOGIN, Sergey Anatolyevich**

**Name (non-Latin script):** Сергей Анатольевич Когогин

**DOB:** 16/11/1957. **POB:** Bolshie Klyuchi village, Zelenodolsk Region, the Republic of Tatarstan, Russia **Nationality:** Russia **Position:** Director General of PJSC "KAMAZ" **Other Information:** (UK Sanctions List Ref): RUS1124 (UK Statement of Reasons): Sergey Kogogin is the Director General of Kamaz PJSC, which produces heavy vehicles used, inter alia, by the Russian military. Kogogin has therefore been involved in obtaining a benefit from or supporting the Government of Russia by working as a director of a company carrying on business in a sector of strategic significance to the Government of Russia, namely the Russian defence sector. (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15070.

**6. GURYEV, Andrey Grigoryevich**

**Name (non-Latin script):** Андрей Григорьевич Гурьев

**DOB:** 24/03/1960. **POB:** Lobnya, Russia **a.k.a:** GURIEV, Andrey, Grigoryevich **Nationality:** Russia **Position:** Former Deputy Chairman of the Board of Directors at PJSC PhosAgro **Other Information:** (UK Sanctions List Ref): RUS1125 (UK Statement of Reasons): Andrey G GURYEV is a former Deputy Chair of the Board of Directors at PJSC PhosAgro, a leading Russian chemical company. Through his directorship at PJSC PhosAgro, which he held between 2013 and 2022, GURYEV has been involved in obtaining a benefit or supporting the Government of Russia by working as a director (whether executive or non-executive), trustee, or equivalent, of an entity carrying on business in a sector of strategic significance to the Government of Russia, namely the

Russian chemicals sector. (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15072.

**7. MIKHELSON, Leonid Viktorovich**

**DOB:** 11/08/1955 **POB:** Kaspiysk, Russia **Nationality:** (1) Russia (2) Israel **Position:** (1) Chairman of the Management Board and Board Member of the Board of Directors, Novatek PJSC (2) Chairman of the Board of Directors, PAO Sibur **Other Information:** (UK Sanctions List Ref): RUS1126 (UK Statement of Reasons): Leonid Viktorovich MIKHELSON is a prominent businessman whose significant business interests in the Russian energy and chemical sectors make him currently one of Russia's richest men. Through his directorships and major shareholdings in the companies Novatek PJSC and PAO Sibur, MIKHELSON continues to obtain a benefit from and/or continues to support the Government of Russia by working as a director (whether executive or non-executive), trustee, or equivalent, of entities carrying on business in sectors of strategic significance to the Government of Russia, namely the Russian energy and chemicals sectors. **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15073.

**8. KANTOR, Viatcheslav**

**Name (non-Latin script):** Вячеслав Кантор

**DOB:** 08/09/1953. **POB:** Moscow, Russia **a.k.a:** (1) KANTOR, Viacheslav (2) KANTOR, Viacheslav, Moshe (non-Latin script: Вячеслав Моше Кантор) (3) KANTOR, Viacheslav, Vladimirovich (4) KANTOR, Viatcheslav, Moshe (non-Latin script: Вячеслав Владимирович Кантор) (5) KANTOR, Viatcheslav, Vladimirovich (6) KANTOR, Vyacheslav **Nationality:** (1) Russia (2) Israel **Position:** Chairman of the Coordinating Board of PJSC Acron **Other Information:** (UK Sanctions List Ref): RUS1127 (UK Statement of Reasons): Viatcheslav KANTOR, hereafter KANTOR, is a prominent Russian businessman associated with Vladimir Putin. KANTOR is the Chairman of the Coordinating Board of PJSC 'Acron' and its largest shareholder. Acron is a Russian chemical, mineral and fertilizer producer which carries on business in a sector of strategic significance to the Government of Russia, namely the Russian chemicals and extractives sectors. KANTOR therefore owns or controls directly or indirectly and/or works as a director (whether executive or non-executive) trustee, or equivalent of a person other than an individual carrying on business of economic significance to the Government of Russian in sectors of strategic significance to the Government of Russian. Hence KANTOR is involved in gaining a benefit from or supporting the Government of Russia, whose actions are destabilising Ukraine or undermining or destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. (Gender): Male **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15075.

**Entities**

**1. CREDIT BANK OF MOSCOW**

**a.k.a:** (1) CREDIT BANK OF MOSCOW PJSC (2) CREDIT BANK OF MOSCOW PUBLIC JOINT STOCK COMPANY **Address:** Lukov pereulok 2, bldg. 1, Moscow, Russia, 107045. **Other Information:** (UK Sanctions List Ref): RUS1119 (UK Statement of Reasons): Designated for the purposes of an asset freeze and a travel ban under the Russia (Sanctions) (EU Exit) Regulations 2019. The designation is made as a designation by name under the urgent procedure. The relevant provision by reference to which the Minister considers that condition B is met is the Autonomous Sanctions Regulations 2011 in respect of

Australia's Ukraine and Russia sanctions regimes. The purposes of this provision correspond or are similar to the purposes of the UK's Russia (Sanctions) (EU Exit) Regulations 2019, which have as their purposes to encourage Russia to cease actions destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. The Minister considers that it is in the public interest to designate (condition C). (Website): [www.mkb.ru](http://www.mkb.ru) **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15077.

## 2. PJSC SBERBANK (PUBLIC JOINT-STOCK COMPANY SBERBANK)

**Name (non-Latin script):** ПАО Сбербанк

**a.k.a:** (1) PJSC Sberbank of Russia (2) Sberbank (3) Sberbank of Russia **Address:** 19 Vavilova St., Moscow, Russia, 117997. **Other Information:** (UK Sanctions List Ref): RUS0256 (List of persons named in relation to further restrictions Group ID): 13079. (UK Statement of Reasons): PJSC Sberbank (Public Joint-Stock Company Sberbank) is involved in obtaining a benefit from or supporting the Government of Russia. PJSC Sberbank is Russia's largest bank by assets controlled, and offers a range of financial services to consumers and business clients. It is a highly significant entity in the Russian financial services sector, a sector of strategic significance to the Government of Russia. The Government of Russia has a controlling share in PJSC Sberbank, meaning that PJSC Sberbank also carries on business as a Government of Russia-affiliated entity. (Phone number): +8 (800) 555-55-50 (Email address): [media@sberbank.ru](mailto:media@sberbank.ru) (Type of entity): (1) Bank (2) Financial Services Company (Business Reg No):1027700132195 **Listed on:** 06/04/2022 **UK Sanctions List Date Designated:** 06/04/2022 **Last Updated:** 06/04/2022 **Group ID:** 15076.

## AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

### Entities

#### 1. GAZPROMBANK

**Address:** 63 Novocheremushkinskaya St., Moscow, Russia, 117418. **Other Information:** (UK Sanctions List Ref):RUS1072 (*List of persons named in relation to financial and investment restrictions Group ID*): 13081. (UK Statement of Reasons):Designated for the purposes of an asset freeze under the Russia (Sanctions) (EU Exit) Regulations 2019. The designation is made as a designation by name under the urgent procedure. The relevant provision by reference to which the Minister considers that condition B is met is Canada's Special Economic Measures (Russia) Regulations. The purposes of this provision correspond or are similar to the purposes of the UK's Russia (Sanctions) (EU Exit) Regulations 2019, which have as their purposes to encourage Russia to cease actions destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. The Minister considers that it is in the public interest to designate (condition C). **Listed on:** 24/03/2022 **UK Sanctions List Date Designated:** 24/03/2022 **Last Updated:** 24/03/2022 06/04/2022 **Group ID:** 15015.

#### 2. RUSSIAN AGRICULTURAL BANK

**Address:** 0 Bld. 2 Presnenskaya Emb. (Moscow City, IQ-quarter Complex), Moscow, Russia, 123112. **Other Information:** (UK Sanctions List Ref):RUS1073 (List of persons named in relation to financial and investment restrictions Group ID): 13083. (UK Statement of Reasons): Designated for the purposes of an asset freeze under the Russia (Sanctions) (EU Exit) Regulations 2019. The designation is made as a designation by name under the urgent procedure. The relevant provision by reference to which the Minister considers that condition B is met is Canada's Special Economic Measures (Russia) Regulations. The purposes of this provision correspond or are similar to the purposes of the UK's Russia (Sanctions) (EU Exit) Regulations 2019, which have as their purposes to encourage Russia to cease actions destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. The Minister considers that it is in the public interest to designate (condition C). **Listed on:** 24/03/2022 **UK Sanctions List Date Designated:** 24/03/2022 **Last Updated:** 24/03/2022 06/04/2022 **Group ID:** 15016.

### 3. PUBLIC JOINT STOCK COMPANY "UNITED AIRCRAFT CORPORATION"

**Name (non-Latin script):** Публичное акционерное общество «Объединённая авиастроительная корпорация»

**a.k.a:** (1) PJSC UAC (2) UAC (3) United Aircraft Corporation **Other Information:** (UK Sanctions List Ref):RUS0239 (List of persons named in relation to financial and investment restrictions Group ID): 13121. (UK Statement of Reasons):PJSC United Aircraft Corporation (UAC) is a Russian state owned enterprise. It contains all of Russia's major aircraft manufacturing companies and is a major supplier of aircraft to the Russian military. This includes military aircraft that have been used in Crimea. Therefore UAC provides financial services or makes available funds and economic resources that could contribute to destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine. (Type of entity):(1) Public Joint stock company (2) Holding company **Listed on:** 24/02/2022 **UK Sanctions List Date Designated:** 24/02/2022 **Last Updated:** 24/02/2022 06/04/2022 **Group ID:** 14186.

### 4. JSC RESEARCH AND PRODUCTION CORPORATION URALVAGONZAVOD

**Name (non-Latin script):** ОАО «Научно-производственная корпорация «УралВагонЗавод»

**a.k.a:** UralVagonZavod **Address:** B. Yakimanka, 40, Moscow, Russia, 119049. **Other Information:** (UK Sanctions List Ref):RUS0241 (List of persons named in relation to financial and investment restrictions Group ID): 13122. (UK Statement of Reasons):UralVagonZavod ("UVZ") is a wholly Russian-state owned company which produces military equipment, particularly tanks, for the Russian armed forces. It is one of the largest tank manufacturers in the world. As such, UVZ plays a key role in supporting the Government of Russia; it carries on business in a sector of strategic significance to the Government of Russia, and has contributed towards threatening the territorial integrity, sovereignty and independence of Ukraine. (Website):uralvagonzavod.ru (Type of entity):State owned Joint Stock Company **Listed on:** 24/02/2022 **UK Sanctions List Date Designated:** 24/02/2022 **Last Updated:** 24/02/2022 06/04/2022 **Group ID:** 14188.

### 5. VEB.RF

**Name (non-Latin script):** ВЭБ.РФ

**a.k.a:** Vneshekonombank **Address:** Akademia Sakharova Prospekt, Moscow, Russia, 107996. **Other Information:** (UK Sanctions List Ref):RUS0253 (List of persons named in relation to financial and investment restrictions Group ID): 13082. (UK Statement of



Reasons):VEB.RF is a state corporation established by the Russian Government to function as the national development bank and a state payment agent to a range of entities across sectors of strategic significance to the Government of Russia. VEB.RF is benefitting from and supporting the Government of Russia. VEB.RF is carrying on business as a Government of Russia-affiliated entity by receiving financial benefits from the Government of Russia. VEB.RF carries out activities in financial services in the Russian financial services sector, and is therefore carrying on business in a sector of strategic significance to the Government of Russia. (Phone number):+7 (495) 721-18-63 (Type of entity):(1) State Development Corporation (2) State Development Bank **Listed on:** 28/02/2022 **UK Sanctions List Date Designated:** 28/02/2022 **Last Updated:** 28/02/2022 06/04/2022 **Group ID:** 14198.

#### 6. VTB BANK (PUBLIC JOINT-STOCK COMPANY)

**Name (non-Latin script):** Банк ВТБ (публичное акционерное общество)

**a.k.a:** (1) VTB (2) VTB Bank (PJSC) **Address:** 11, lit A, Degtyarnyy pereulok, St. Petersburg, Russia, 191144. **Other Information:** (UK Sanctions List Ref):RUS0250 (List of persons named in relation to financial and investment restrictions Group ID): 13080.

Other suspected locations: Moscow, Russia (UK Statement of Reasons):VTB Bank PJSC is owned by and/or associated with the Russian government and has received significant financial support from the Russian government. The Russian government is involved in activities to destabilise Ukraine and undermine or threaten the territorial integrity, sovereignty and independence of Ukraine. (Phone number):(1) +8 (800) 100-24-24 (2) +7 (495) 777-24-24 (Website):www.vtb.com (Email address):info@vtb.ru (Type of entity):Public Joint-Stock Company **Listed on:** 24/02/2022 **UK Sanctions List Date Designated:** 24/02/2022 **Last Updated:** 24/02/2022 06/04/2022 **Group ID:** 14195.

Financial Reporting Authority

Portfolio of Legal Affairs

06/04/2022