

# FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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CAYMAN ISLANDS

**Financial Sanctions Notice**

**31/01/2022**

## Myanmar

### Introduction

1. The Myanmar (Sanctions) Regulations 2021 (S.I. 2021/496) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies involved in undermining democracy, the rule of law or good governance in Myanmar; the repression of the civilian population in Myanmar; the commission of, or the obstruction of an independent investigation into, a serious human rights violation or abuse in Myanmar; the commission of a violation of international humanitarian law in Myanmar; the obstruction of a humanitarian assistance activity in Myanmar; any other action, policy or activity which threatens the peace, stability or security of Myanmar.
2. On 31 January 2022 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

### Notice summary

4. The following entries have been added to the consolidated list and are now subject to an asset freeze.

- Thida OO (Group ID: 14173)
- Thein SOE (Group ID: 14174)
- Tin OO (Group ID: 14175)

### **What you must do**

5. You must:
  - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
  - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
  - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
  - iv. report any findings to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky), together with any additional information that would facilitate compliance with the Regulation;
  - v. provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) by completing and submitting a [Compliance Reporting Form \(CRF\)](#). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
  
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

### **Further Information**

7. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Myanmar financial sanctions page on the Gov.UK website:  
  
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
  
8. The Consolidated List can be found here:  
  
<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>
  
9. The UK Sanctions List can be found here:  
  
<https://www.gov.uk/government/publications/the-uk-sanctions-list>
  
10. For more information please see OFSI's guide to financial sanctions:  
  
<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

11. For general information on financial sanctions please see our Financial Sanctions Guidance:

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

12. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator  
Financial Reporting Authority  
P.O. BOX 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[financialsanctions@gov.ky](mailto:financialsanctions@gov.ky)

## ANNEX TO NOTICE

### FINANCIAL SANCTIONS: MYANMAR

#### THE MYANMAR (SANCTIONS) REGULATIONS 2021 (S.I. 2021/496)

#### ADDITIONS

##### Individuals

##### 1. OO, Thida

**Title:** (1) Doctor (2) Attorney General **POB:** Myanmar (Burma) **a.k.a:** OO, Thidar **Nationality:** Myanmar (Burma) **Address:** Myanmar (Burma) **Position:** Myanmar Attorney General **Other Information:** (UK Sanctions List Ref): MYA0034 (UK Statement of Reasons): Dr Thida Oo is the sitting Attorney General of Myanmar. She was appointed by the State Administration Council (SAC), following the February 2021 military coup, after her predecessor, U Tun Tun Oo, was forcibly removed from office. She is involved in undermining democracy through the manner of her appointment and her acceptance of the role. She is also, reportedly, responsible for building cases against the Civil Disobedience Movement and Aung San Suu Kyi, which directly undermines the democracy movement. Further, Dr Thida Oo acts on behalf of, or at the direction of, and/or is associated with the SAC (designated under the Myanmar (Sanctions) Regulations 2021). Dr Thida Oo is also associated with the Commander-in-Chief and Chairman of the SAC, Min Aung Hlaing (designated under the Global Human Rights (Sanctions) Regulations 2020 and Myanmar (Sanctions) Regulations 2021. (Gender): Female **Listed on:** 31/01/2022 **Last Updated:** 31/01/2022 **Group ID:** 14173.

##### 2. SOE, Thein

**Title:** (1) U (2) Retired Major General **POB:** Kani, Sagaing, Myanmar (Burma) **Nationality:** Myanmar (Burma) **Address:** Myanmar (Burma) **Position:** Chair of Union Election Commission **Other Information:** (UK Sanctions List Ref): MYA0035 (UK Statement of Reasons): U Thein Soe was appointed by the Commander-in-Chief as Chair of the, reconstituted, Union Election Commission (UEC) on 3 February 2021, two days after the military seized power in a coup. The Chair of the UEC is responsible for overseeing activity relating to elections in Myanmar and the conduct of political parties. As Chair of the UEC U Thein Soe has been involved in undermining democracy in his attempts to override the democratic wishes of the people of Myanmar at the November 2020 polls, in particular on 27 July 2021 he passed a decree which annulled the November 2020 election results on the unsubstantiated grounds of widespread electoral fraud. Further and/or alternatively he is carrying out activities on behalf of the State Administration Council (designated under the Myanmar (Sanctions) Regulations 2021) and/or Min Aung Hlaing (the Commander-in-Chief and Chairman of the SAC) (designated under the Global Human Rights (Sanctions) Regulations 2020 and Myanmar (Sanctions) Regulations 2021. (Gender): Male **Listed on:** 31/01/2022 **Last Updated:** 31/01/2022 **Group ID:** 14174.

##### 3. OO, Tin

**Title:** U **POB:** Myanmar (Burma) **Nationality:** Myanmar (Burma) **National Identification no:** NRIC: 5/KALATA (NAING) 127084 **Address:** No 22, Thanlwin Street, PyinYaWaDy Condominium, No.5 Quarter, Yankin township, Yangon, Myanmar (Burma). **Position:** Chair of the Anti-Corruption Commission **Other Information:** (UK Sanctions List Ref):

MYA0036 (UK Statement of Reasons): U Tin Oo is the current Chair of the Anti-Corruption Commission in Myanmar. He was appointed by the State Administration Council (SAC), following the February 2021 military coup. He is involved in undermining democracy through the manner of his appointment and his acceptance of the role. He is also undermining democracy and the rule of law by developing cases, widely regarded as unfounded, against democratically elected officials including Aung San Suu Kyi and President Win Myint. Further, U Tin Oo acts on behalf of, and/or at the direction of, and/or is associated with the SAC whose members are designated under the Myanmar (Sanctions) Regulations 2021. U Tin Oo was appointed by and reports to the Chair of the SAC, Commander-in-Chief, Min Aung Hlaing (designated under the Global Human Rights (Sanctions) Regulations 2020 and Myanmar (Sanctions) Regulations 2021) and is therefore associated with a designated person. (Gender): Male **Listed on:** 31/01/2022 **Last Updated:** 31/01/2022 **Group ID:** 14175.

Financial Reporting Authority

Portfolio of Legal Affairs

31/01/2022