

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



Delivery Address:

133 Elgin Ave, 4th Floor
Government Administrative Building
Grand Cayman
CAYMAN ISLANDS
Direct Tel No. (345) 244-2394
Tel No. (345) 945-6267
Fax No. (345) 945-6268
Email: financialsanctions@gov.ky

Mailing Address:

P.O. Box 1054
Grand Cayman KY1-1102
CAYMAN ISLANDS

Financial Sanctions Notice

19/01/2021

Democratic Republic of the Congo

Introduction

1. The Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/433) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act), and provide for the freezing of funds and economic resources of certain persons, entities or bodies which are, or have been, involved in the commission of a serious human rights violation or abuse in the Democratic Republic of the Congo, a violation of international humanitarian law in the Democratic Republic of the Congo, or obstructing or undermining respect for democracy, the rule of law and good governance.
2. On 19 January 2021 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. 9 entries have been amended on the Consolidated List. Further details are available in the Annex to this Notice.

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky , together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be found obtained from the Democratic Republic of the Congo financial sanctions page on the Gov.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
9. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:
<https://www.un.org/securitycouncil/sanctions/1533>
10. The Consolidated List can be found here:
<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>
10. The UK Sanctions List can be found here:
<https://www.gov.uk/government/publications/the-uk-sanctions-list>

11. For more information please see OFSI's guide to financial sanctions:
<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>
12. For general information on financial sanctions please see our Financial Sanctions Guidance
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

13. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO

THE DEMOCRATIC REPUBLIC OF THE CONGO (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/433)

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. **NTAWUNGUKA, Pacifique**

DOB: ~~/01/~~ 01/01/1964. **POB:** Gaseke, Gisenyi Province, Rwanda **a.k.a:** (1) ISRAEL (2) NTAWUNGULA, Pacifique (3) NZERI (4) OMEGA, Colonel **Nationality:** Rwandan **Address:** Rutshuru Territory, North Kivu, Democratic Republic of the Congo (as of June 2016). **Position:** ~~(a)~~ (1) FDLR-FOCA "SONOKI" Sector Commander ~~(b)~~ (2) FDLR-FOCA Brigadier General. Former commander of the First Division of FOCA. **Other Information:** (UK Sanctions List Ref): DRC0053 (UN Ref): CDi.024. ~~Also referred to as Nzeri, Israel and Colonel Omega.~~ (Further Identifying Information): Received military training in Egypt. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/un/5269021>. (Gender): Male **Listed on:** 04/03/2009 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 10678.

2. **MUNDOS, Muhindo Akili**

DOB: 10/11/1972. **POB:** Democratic Republic of the Congo **a.k.a:** (1) MUHINDO, Akili (2) MUNDOS, Charles, Muhindo, Akili (3) MUNDOS, Muhindo **Nationality:** Democratic Republic of the Congo **Position:** ~~(a)~~ (1) DRC Armed Forces (FARDC) General, Commander of the 31st Brigade ~~(b)~~ (2) FARDC Brigadier General **Other Information:** (UK Sanctions List Ref): DRC0051 (UN Ref): CDi.032 (Further Identifying Information): Muhindo Akili Mundos is an FARDC General, Commander of the 31st Brigade. He was appointed commander of the FARDC's Operational Sector in the areas of Beni and Lubero, including Operation Sukola I against the Allied Democratic Forces (ADF) in September 2014. He remained in that position until June 2015. He is also a threat to the peace, stability and security of the DRC under UNSCR 2293 paragraph 7(e). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/un/6194813> **Listed on:** 02/02/2018 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 13604.

3. **OZIA MAZIO, Dieudonné Ozia**

DOB: 06/06/1949. **POB:** Ariwara, DRC **a.k.a:** (1) OZIA MAZIO, ~~Ozia~~ (2) OMARI (3) OMARI, Mr **Nationality:** Congolese **Position:** Former president of the Fédération des entreprises congolaises (FEC) in ARU territory **Other Information:** (UK Sanctions List Ref): DRC0027 (UN Ref): CDi.027. ~~Also referred to as Ozia Mazio, Omari and Mr Omari.~~ (Further Identifying Information): While president of the Fédération des entreprises congolaises (FEC) in Aru territory, Dieudonné Ozia Mazio is believed to have died in Ariwara on 23 September 2008. INTERPOL-UN Security Council Special Notice web link:

<https://www.interpol.int/en/notice/search/un/5275495>. (Gender): Male **Listed on:** 02/11/2005 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 8705.

4. RUHORIMBERE, Eric

Title: Brigadier General **DOB:** --/--/1964. **POB:** Hauts-Plateaux, DRC **a.k.a:** (1) DEUX, Tango (2) HURIEMBERE, Eric (3) HURIEMBERE, Erick (4) ROHIMBERE, Eric (5) ROHIMBERE, Erick (6) RORUIMBERE, Eric (7) RORUIMBERE, Erick (8) RUHERIMBERE, Eric (9) RUHERIMBERE, Erick (10) RUHIMBERE, Eric (11) RUHIMBERE, Erick (12) RUHORHIMBERE, Eric (13) RUHORHIMBERE, Erick (14) RUHORIMBERE, Erick (15) RUHORIMBERE, Haro (16) RUOHIMBERE, Eric (17) RUOHIMBERE, Erick (18) RURIOMBELE RUHANGA, Eric (19) RURIOMBELE RUHANGA, Erick (20) TANGO, Tango (21) TWO, Tango **Nationality:** DRC **Passport Details:** OB0814241 (DRC) **National Identification no:** 1-64-09-51400-67,414659/K **Address:** Mbuji-Mayi (with unit 21 Military Region), Democratic Republic of the Congo. **Position:** Commander of the Nord Equateur operational sector **Other Information:** (UK Sanctions List Ref): DRC0014 Date designated on UK Sanctions List: 31/12/2020 (UK Statement of Reasons) :As Deputy Commander of the 21st Military region since 18 September 2014, Eric RUHORIMBERE is responsible for the disproportionate use of force and extra judicial killings perpetrated by FARDC forces, notably against the Nsapu militia and women and children. Eric RUHORIMBERE was therefore involved in planning, directing, or committing acts that constitute serious human rights violations and abuses in DRC. (Gender): Male **Listed on:** 30/05/2017 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 13465.

Entities

1. M23

a.k.a: ~~Mouvement Du 23 Mars~~ Mouvement du 23 mars **Other Information:** (UK Sanctions List Ref): DRC0020 (UN Ref): CDe.006 (Further Identifying Information): INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/une/5277973> (Email address): mouvementdu23mars1@gmail.com **Listed on:** 23/01/2013 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 12841.

2. ADF (ALLIED DEMOCRATIC FORCES)

a.k.a: (1) ~~Adf~~ ADF (2) Allied Democratic Forces (3) Forces Démocratiques Alliées-Armée Nationale De Libération De L'Ouganda (4) Nalu **Address:** North Kivu Province, Democratic Republic of the Congo. **Other Information:** (UK Sanctions List Ref): DRC0015 (UN Ref): CDe.001 (Further Identifying Information): ADF founder and leader, Jamil Mukulu (CDi.015), was arrested in Dar es Salaam, Tanzania in April 2015. He was subsequently extradited to Kampala, Uganda in July 2015. As of June 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial. Seka Baluku (CDi.036) succeeded Jamil Mukulu (CDi.015) as the overall leader of the ADF. As highlighted in several reports from the Group of Experts on the DRC (S/2015/19, S/2015/797, S/2016/1102, S/2017/672, S/2018/531, S/2019/469, S/2019/974, S/2020/482), the ADF, including under Seka Baluku's leadership, continued to commit the repeated targeting, killing and maiming, rape and other sexual violence, abduction of civilians, including children, as well as attacks on villages and health facilities, in particular in Mamove, Beni territory, on 12 and 24 February 2019, and Mantumbi, Beni territory, on 5 December 2019 and 30 January 2020, as well as the continuous recruitment and use of children during attacks and for forced labour in Beni territory in the DRC since at least 2015. INTERPOL-UN Security Council Special Notice web link:

<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>. **Listed on:** 09/12/2014 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 13189.

3. FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA (FDLR)

a.k.a: (1) ~~Combatant Force For The Liberation Of Rwanda~~ Combatant Force for the Liberation of Rwanda (2) ~~Fdlr~~ FDLR (3) ~~Foca~~ FOCA (4) Force Combattante Abacunguzi
Address: North and South Kivu, Democratic Republic of the Congo. **Other Information:** (UK Sanctions List Ref): DRC0019 (UN Ref): CDe.005 (Further Identifying Information): INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/une/5278442> (Email address): Fdlr@fmx.de, fldrrse@yahoo.fr, fdlr@gmx.net, fdlrsrt@gmail.com, humura2020@gmail.com. **Listed on:** 23/01/2013 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 12840.

4. TOUS POUR LA PAIX ET LE DEVELOPPEMENT (NGO)

a.k.a: ~~Tpd~~ TPD **Address:** Goma, North Kivu, Democratic Republic of the Congo. **Other Information:** (UK Sanctions List Ref): DRC0022 (UN Ref): CDe.008 (Further Identifying Information): Goma, with provincial committees in South Kivu, Kasai Occidental, Kasai Oriental and Maniema officially ~~Officially~~ suspended all activities since 2008. In practice, as of June 2011 TPD offices are open and involved in cases related to returns of IDPs, community reconciliation initiatives, land conflict settlements, etc. The TPD President is Eugene Serufuli and Vice-President is Saverina Karomba. Important members include North Kivu provincial deputies Robert Seninga and Bertin Kirivita. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/une/5278464> **Listed on:** 02/11/2005 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 8744.

5. UGANDA COMMERCIAL IMPEX (UCI) LTD

Address: (1) PO BOX 22709, Kampala, Uganda. (2) Plot 22, Kanjokya Street, Kamwokya, Kampala, ~~Uganda,~~ Uganda. **Other Information:** (UK Sanctions List Ref): DRC0023 (UN Ref): CDe.009 (Further Identifying Information): Gold export company. (Directors Mr. Jamnadas V. LODHIA – known as “Chuni”- and his sons Mr. Kunal LODHIA and Jitendra J. LODHIA). In January 2011, Ugandan authorities notified the Committee that following an exemption on its financial holdings, Emirates Gold repaid UCI’s debt to Crane Bank in Kampala, leading to final closure of its accounts. The directors of UCI have remained involved in purchasing gold from eastern DRC. Uganda Commercial Impex (UCI) Ltd last filed a return in 2013 and was listed as “Inactive – status inactive” by the authorities of the Republic of Uganda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>. (Phone number): +256 41 533 578/9 **Listed on:** 30/03/2007 **Last Updated:** ~~31/12/2020~~ 19/01/2021 **Group ID:** 9066.

Financial Reporting Authority

Portfolio of Legal Affairs

19/01/2021