

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



Delivery Address:

133 Elgin Ave, 4th Floor
Government Administrative Building
Grand Cayman
CAYMAN ISLANDS
Direct Tel No. (345) 244-2394
Tel No. (345) 945-6267
Fax No. (345) 945-6268
Email: financialsanctions@gov.ky

Mailing Address:

P.O. Box 1054
Grand Cayman KY1-1102
CAYMAN ISLANDS

Financial Sanctions Notice

18/02/2021

Democratic Republic of the Congo

Introduction

1. The Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/433) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies which are, or have been, involved in the commission of a serious human rights violation or abuse in the Democratic Republic of the Congo, a violation of international humanitarian law in the Democratic Republic of the Congo, or obstructing or undermining respect for democracy, the rule of law and good governance.
2. On 18 February 2021 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. The following entries have been amended and are still subject to an asset freeze:
 - Ntabo Ntaberi SHEKA (Group ID: 12438).

- Bosco TAGANDA (Group ID: 8736).

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
7. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be found obtained from the Democratic Republic of the Congo financial sanctions page on the Gov.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
9. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:
<https://www.un.org/securitycouncil/sanctions/1533>
10. The Consolidated List can be found here:
<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>

11. The UK Sanctions List can be found here:
<https://www.gov.uk/government/publications/the-uk-sanctions-list>
12. For more information please see OFSI's guide to financial sanctions:
<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>
13. For general information on financial sanctions please see our Financial Sanctions Guidance
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)
14. **Enquiries**

Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO THE DEMOCRATIC REPUBLIC OF THE CONGO (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/433)

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. SHEKA, Ntabo Ntaberi

DOB: 04/04/1976. **POB:** Walikale, Walikale territory, Democratic Republic of the Congo
Nationality: Democratic Republic of the Congo **Address:** Goma, North Kivu ~~Democratic Republic~~
~~Republic (in prison)~~, Democratic Republic of the Congo (in prison). **Position:**
Commander-in-Chief, Nduma Defence of Congo, Mayi Mayi Sheka group **Other**
Information: (UK Sanctions List Ref): DRC0052 (UN Ref): CDi.029 (Further Identifying
Information): He surrendered to MONUSCO on 26 July 2017 and has been since detained
by the Congolese authorities. His trial for war crimes, crimes against humanity and
participation in an insurrectional movement, before the Military Court in Goma, started
in November 2018. INTERPOL-UN Security Council Special Notice web link:
<https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.
(Gender): Male **Listed on:** 09/01/2012 **Last Updated:** ~~31/12/2020~~ 18/02/2021 **Group ID:**
12438.

2. TAGANDA, Bosco

DOB: (1) --/--/1973. (2) --/--/1974. **POB:** Bigogwe, Rwanda **a.k.a:** (1) BOSCO, Ntaganda
(2) LYDIA (3) MAJOR (4) NTAGENDA (5) TANGO ROMEO, ~~Tango~~ (6) TAGANDA, General
(7) TERMINATOR **Nationality:** Democratic Republic of the Congo **Address:** The Hague,
Netherlands (as of June 2016), ~~Netherlands~~. **Position:** (1) Former Chief of Staff in CNDP
(2) Former CNDP military commander **Other Information:** (UK Sanctions List Ref):
DRC0025 (UN Ref): CDi.030. (Further Identifying Information): Born in Rwanda, he
moved to Nyamitaba, Masisi territory, North Kivu, when he was a child. Nominated
FARDC Brigadier-General by Presidential Decree on 11 December 2004, following Ituri
peace agreements. Formerly Chief of Staff in CNDP and became CNDP military
commander since the arrest of Laurent Nkunda in January 2009. Since January 2009, de
facto Deputy Commander of consecutive anti-FDLR operations 'Umoja Wetu', 'Kimia II',
and 'Amani Leo' in North and South Kivu. Entered Rwanda in March 2013, and
voluntarily surrender to ICC officials in Kigali on March 22. Transferred to the ICC in The
Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five
charges of crimes against humanity against him, the trial started in September 2015. On
8 July 2019, the ICC found him guilty of 18 counts of war crimes and crimes against
humanity, committed in Ituri in 2002-2003. On 7 November 2019, he was sentenced to

a total of 30 years imprisonment. He has appealed both his conviction and sentence. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>. (Gender): Male **Listed on:** 02/11/2005 **Last Updated:** ~~21/01/2021~~ 18/02/2021 **Group ID:** 8736.

Financial Reporting Authority

Portfolio of Legal Affairs

18/02/2021