

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



Delivery Address:

133 Elgin Ave, 4th Floor
Government Administrative Building
Grand Cayman
CAYMAN ISLANDS
Direct Tel No. (345) 244-5702
Tel No. (345) 945-6267
Fax No. (345) 945-6268
Email: financialreportingauthority@gov.ky

Mailing Address:

P.O. Box 1054
Grand Cayman KY1-1102
CAYMAN ISLANDS

Financial Sanctions Notice

26/06/2018

Burma

Introduction

1. Council Regulation (EU) 401/2013 (“the Regulation”) imposing financial sanctions in relation to Burma has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

2. Seven entries have been added to the consolidated list and are now subject to an asset freeze.

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;

- iv. report any findings to the FRA at financialreportingauthority@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialreportingauthority@gov.ky by completing and submitting a TF/PF Asset Freeze Report Form. Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
4. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative Details

5. On 25 June 2018 Council Implementing Regulation (EU) No 2018/898 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 160 I, 25.6.2018, p.1) by the Council of the European Union.
6. The Amending Regulation amended Annex IV to the Regulation with effect from 25 June 2018.

Further Information

7. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R0898&from=EN>
8. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Burma financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
9. Please note there are also import and export restrictions on Burma. Further guidance on export and trade sanctions is available from the GOV.UK website:

<https://www.gov.uk/sanctions-embargoes-and-restrictions>.
10. For more information please see OFSI’s guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

11. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1052
Grand Cayman KY1-1102
Cayman Islands
financialreportingauthority@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: BURMA

COUNCIL IMPLEMENTING REGULATION (EU) No 2018/898

AMENDING ANNEX IV TO COUNCIL REGULATION (EU) No 401/2013

ADDITIONS

Individuals

AUNG Aung

Title: Brigadier General **National Identification no:** BC 23750 **Position:** Commander of the 33rd Light Infantry Division of the Myanmar Armed Forces (Tatmadaw). **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13686.

LWIN Thura San

Title: Brigadier General **DOB:** --/--/1957. **Other Information:** Brigadier General Thura San Lwin was the Commander of the Border Guard Police from October 2016 until early October 2017. **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13692.

OO Than

Title: Brigadier General **DOB:** 12/10/1973. **National Identification no:** BC 25723 **Position:** Commander of the 99th Light Infantry Division of the Myanmar Armed Forces (Tatmadaw). **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13684.

OO Thant Zin

Position: Commander of the 8th Security Police Battalion. **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13693.

SOE Khin Maung

Title: Major General **Position:** Commander of the 15th Light Infantry Division of the Myanmar Armed Forces (Tatmadaw). **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13689.

SOE Maung Maung

Title: Major General **DOB:** --/03/1964. **National Identification no:** Tatmadaw Kyee 19571 **Other Information:** Major General Maung Maung Soe was the Commander of the Western Command of the Myanmar Armed Forces (Tatmadaw) from October 2016 to 10 November 2017. **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13682.

ZAW Aung Kyaw

Title: Lieutenant General **DOB:** 20/08/1961. **Passport Details:** Passport No: DM000826 Date of issue 22 November 2011 - Date of expiry 21 November 2021 **National Identification no:** BC 17444 **Other Information:** Lieutenant General Aung Kyaw Zaw was the Commander of the Bureau of Special Operations No.3 of the Myanmar Armed Forces (Tatmadaw) from August 2015 to the end of 2017. **Listed on:** 26/06/2018 **Last Updated:** 26/06/2018 **Group ID:** 13681.

Financial Reporting Authority

Portfolio of Legal Affairs

26/06/2018