

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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CAYMAN ISLANDS

Financial Sanctions Notice

18/02/2021

Burma

Introduction

1. The Burma (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/136) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies involved in the commission of serious human rights violations in Burma.
2. On 18 February 2021 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. The following entries have been added to the consolidated list and are now subject to an asset freeze:
 - Soe HTUT (Group ID: 14056)

- Than HLAING (Group ID: 14057)
- Mya Tun OO (Group ID: 14058)

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

7. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Burma financial sanctions page on the Gov.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
8. The Consolidated List can be found here:
<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>
9. The UK Sanctions List can be found here:
<https://www.gov.uk/government/publications/the-uk-sanctions-list>
10. For more information please see OFSI's guide to financial sanctions:
<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

11. For general information on financial sanctions please see our Financial Sanctions Guidance

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

Enquiries

12. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: BURMA

THE BURMA (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/136)

ADDITIONS

Individuals

1. HTUT, Soe

Title: Lt. General **DOB:** --/03/1960. **POB:** Myanmar **Nationality:** Myanmar **Address:** Myanmar. **Position:** Minister for Home Affairs **Other Information:** (UK Sanctions List Ref): BUR0015. Lt-Gen Soe Htut graduated from Intake 64 of the Myanmar military's Officer Training School. Became a regional commander in 2010. (UK Statement of Reasons): Soe Htut was appointed Minister for Home Affairs, on 1 February 2020. He was Home Affairs Minister during the coup, on 1 February, when Tatmadaw security forces (including the police) were directed to detain MPs and civil society figures. The Minister for Home Affairs is responsible for the Myanmar Police Force, Fire Service and Prison Service. The police in Myanmar have committed serious human rights violations since 1 February 2021 including killing a civilian protesting against the military coup, preventing and disbanding assemblies, the arbitrary arrest and detention of opposition leaders and opponents of the coup, and preventing freedom of expression by opponents of the coup. The Ministry for Home Affairs has, as two of its functions State Security and Law and Order. Directing and managing the police force is directly under Soe Htut's command as Minister for Home Affairs. As such, we have strong reason to suspect that Lt. General Soe Htut has command responsibility for these violations. (Gender): Male **Listed on:** 18/02/2021 **Last Updated:** 18/02/2021 **Group ID:** 14056.

2. HLAING, Than

Title: Lieutenant-General **POB:** Myanmar **Nationality:** Myanmar **Address:** Myanmar. **Position:** (1) Deputy Minister for Home Affairs (2) Chief of Police **Other Information:** (UK Sanctions List Ref): BUR0016 (UK Statement of Reasons): Following the coup, of 1 February 2021, during which Myanmar's military seized power from civilian leaders, Than Hlaing was appointed Deputy Minister for Home Affairs and Chief of the Myanmar Police Force. The police in Myanmar have committed serious human rights violations since 1 February 2021 including killing a civilian protestor against the coup, preventing and disbanding assemblies, the arbitrary arrest and detention of opposition leaders and supporters, and preventing freedom of expression by opponents of the coup. As Deputy Minister for Home Affairs and Chief of the Myanmar Police Force we have strong reason to suspect that Lt. General Than Hlaing has command responsibility for these serious human rights violations. (Gender): Male **Listed on:** 18/02/2021 **Last Updated:** 18/02/2021 **Group ID:** 14057.

3. OO, Mya Tun

Title: General **DOB:** 05/05/1961. **POB:** Myanmar a.k.a: OO, Mya, Htun **Nationality:** Myanmar **Address:** Myanmar. **Position:** (1) Minister of Defence (2) State Administration Council Member **Other Information:** (UK Sanctions List Ref): BUR0017. Joined Military 1980. Rapid rise to General 26 August 2016 (Chief of General Staff, Army, Navy and Air Force). (UK Statement of Reasons): Following the coup against the democratically elected government by the Myanmar military (Tatmadaw) on 31 January, General Mya Tun Oo

was appointed Minister of Defence on 1 February. The Minister of Defence is responsible for the Tatmadaw, which includes the Army, Navy and Air Force. The Tatmadaw have committed serious human rights violations in Myanmar since 1 February 2021 including prohibiting freedom of assembly, the arbitrary arrest and detention of opposition leaders and opponents of the coup, violence against protesters – including the use of water cannon and rubber and live ammunition - and preventing use of the internet. As the Minister of Defence, General Mya Tun Oo has command responsibility for these violations and can therefore be held responsible for these actions. (Gender): Male **Listed on: 18/02/2021 Last Updated: 18/02/2021 Group ID: 14058.**

Financial Reporting Authority

Portfolio of Legal Affairs

18/02/2021