

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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Financial Sanctions Notice

11/08/2020

Burma

Introduction

1. This notice is to issue a correction for 14 listings in the Burma regime. These amendments bring the consolidated list into line with Annex IV to Council Regulation (EU) No 401/2013 ("the regulation").

Notice summary (Full details are provided in the Annex to this Notice)

2. 14 entries have been amended on the consolidated list and remain subject to an asset freeze.

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;

- ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
 5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

6. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Burma financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>
7. Please note there are also import and export restrictions on Burma. Further guidance on export and trade sanctions is available from the GOV.UK website:
<https://www.gov.uk/sanctions-embargoes-and-restrictions>.
8. For more information please see OFSI's guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>
9. For general information on financial sanctions please see our Financial Sanctions Guidance
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

Enquiries

10. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1052
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: BURMA

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. AUNG, Aung

Title: Brigadier General **Position:** Commander of the 33rd Light Infantry Division of the Myanmar Armed Forces (Tatmadaw) **National identification no:** *BC 23750 (military identification)* **Other Information:** *Gender: male. Military Identification no: BC 23750 Responsible for unlawful killings, sexual violence and the systematic burning of Rohingya houses and buildings.* **Listed on:** 26/06/2018 **Last Updated:** ~~13/08/2018~~ 11/08/2020 **Group ID:** 13686.

2. CHAY, Kyaw

Title: Corporal **Other Information:** *Gender: male. Kyaw Chay is A Corporal in the Border Guard Police (BGP). He was formerly based in Zay Di Pyin and was the Commanding Officer of the BGP base in Zay Di Pyin in the period around 25 August 2017. Participated in serious human rights violations such as the ill-treatment of detainees and torture.* **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13738.

3. HLAING, Khin

Title: Brigadier General **DOB:** 02/05/1968. **Position:** *Commander of the North-eastern Command of the Myanmar Armed Forces (Tatmadaw)* **Other Information:** *Gender: male. Brigadier General Khin Hlaing is the Former Commander of the 99th Light Infantry Division (LID) and the current Commander of the North-eastern Command of the Myanmar Armed Forces (Tatmadaw). Oversaw military operations carried out in Shan State in 2016 and early 2017. Responsible for unlawful killings, forced detention and destruction of villages in Shan State.* **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13735.

4. KYAW, Ba

Title: Staff Sergeant **Other Information:** *Gender: male. Ba Kyaw is A Staff Sergeant in the 564th Light Infantry Battalion (LIB) of the Myanmar Armed Forces (Tatmadaw). Committed atrocities and serious human rights violations against the Rohingya population in Rakhine State in the second half of 2017. Identified as one of the key perpetrators of the Maung Nu massacre on 27 August 2017.* **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13733.

5. LWIN, Thura San

Title: Brigadier General **DOB:** 17/03/1959. **Other Information:** *Gender: male. Brigadier General Thura San Lwin was the Commander of the Border Guard Police from October 2016 until early October 2017. Responsible for unlawful killings and systematic burning of Rohingya houses and buildings.* **Listed on:** 26/06/2018 **Last Updated:** ~~01/05/2019~~ 11/08/2020 **Group ID:** 13692.

6. NAING, Tun

Position: Commanding Officer of the Border Guard Police (BGP) base in Taung Bazar
Other Information: Gender: male. ~~Tun Naing is the Commanding Officer of the Border Guard Police (BGP) base in Taung Bazar.~~ Responsible for forced detention, ill-treatment and torture in Taung Bazar. **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13734.

7. OO, Than

Title: Brigadier General **DOB:** 12/10/1973. **National Identification no:** BC 25723 (military identification) **Other Information:** Gender: male. ~~Commander of the 99th Light Infantry Division of the Myanmar Armed Forces (Tatmadaw) until May 2018.~~ Responsible for unlawful killings, sexual violence and the systematic burning of Rohingya houses and buildings. **Listed on:** 26/06/2018 **Last Updated:** ~~27/04/2020~~ 11/08/2020 **Group ID:** 13684.

8. OO, Thant Zin

Position: ~~Commander of the 8th Security Police Battalion~~ **Other Information:** Gender: male. Responsible for unlawful killings and the systematic burning of Rohingya houses and buildings. Associated with Brigadier General Aung Aung. **Listed on:** 26/06/2018 **Last Updated:** ~~26/06/2018~~ 11/08/2020 **Group ID:** 13693.

9. SOE, Maung Maung

Title: Major General **DOB:** --/03/1964. **National Identification no:** 19571 (Tatmadaw Kyee) **Other Information:** Gender: male. ~~Major General Maung Maung Soe was the Commander of the Western Command of the Myanmar Armed Forces (Tatmadaw) from October 2016 to 10 November 2017.~~ Oversaw the military operations in Rakhine State. Responsible for unlawful killings, sexual violence and systematic burning of Rohingya houses and buildings. **Listed on:** 26/06/2018 **Last Updated:** ~~26/06/2018~~ 11/08/2020 **Group ID:** 13682.

10. SOE, Khin Maung

Title: Brigadier General **DOB:** --/--/1972. **Position:** ~~Commander of the Military Operation Command 15, also sometimes known as the 15th Light Infantry Division, of the Myanmar Armed Forces (Tatmadaw), under which Infantry Battalion No 564 falls.~~ **Other Information:** Gender: male. Battalion No 564 falls under Military Operation Command 15, also sometimes known as the 15th Light Infantry Division. Responsible for unlawful killings, sexual violence and the systematic burning of Rohingya houses and buildings. **Listed on:** 26/06/2018 **Last Updated:** ~~04/05/2019~~ 11/08/2020 **Group ID:** 13689.

11. SWE, Nyi Nyi

Title: Major General **Position:** ~~Commander of the South-western Command of the Myanmar Armed Services~~ **Other Information:** Gender: male. ~~Major General Nyi Nyi Swe is the Former Commander of the Northern Command of the Myanmar Armed Forces (Tatmadaw). In that capacity, he is responsible for the atrocities and serious human rights violations committed in Kachin State from May 2016 to April 2018, until his appointment as Commander of the South-western Command.~~ Responsible for the ill-treatment of civilians and obstructing the provision of humanitarian assistance to civilians in need in Kachin state, including the blocking of food transports. **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13739.

12. THU, Aung Myo

Title: Major **Position:** Field Unit Commander of 33rd Light Infantry Division (LID) of the Myanmar Armed Forces (Tatmadaw) **Other Information:** Major Aung Myo Thu is the Field Unit Commander of 33rd Light Infantry Division (LID) of the Myanmar Armed Forces (Tatmadaw). **Gender:** male. Oversaw military operations carried out in Rakhine State in 2017. Responsible for unlawful killings, sexual violence and forced detention. **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13736.

13. WIN, Thant Zaw

Title: Major **Other Information:** **Gender:** male. Thant Zaw Win is A Major in the 564th Light Infantry Battalion (LIB) of the Myanmar Armed Forces (Tatmadaw). Oversaw military operations carried out in Rakhine State. Responsible for unlawful killings, sexual violence and systematic burning of Rohingya houses and buildings, notably in and around Maung Nu village on 27 August 2017. **Listed on:** 24/12/2018 **Last Updated:** ~~24/12/2018~~ 11/08/2020 **Group ID:** 13737.

14. ZAW, Aung Kyaw

Title: Lieutenant General **DOB:** 20/08/1961. **Passport Details:** **Passport No:** DM000826 **Date of issue:** 22 November 2011. **Date of expiry:** 21 November 2021 **National Identification no:** BC 17444 (military identification) **Other Information:** **Gender:** male. **Military Identification no:** BC 17444. Lieutenant General Aung Kyaw Zaw was the Commander of the Bureau of Special Operations No.3 of the Myanmar Armed Forces (Tatmadaw) from August 2015 to the end of 2017. Responsible for unlawful killings, sexual violence and the systematic burning of Rohingya houses and buildings in Rakhine State. **Listed on:** 26/06/2018 **Last Updated:** ~~13/08/2018~~ 11/08/2020 **Group ID:** 13681.

Financial Reporting Authority

Portfolio of Legal Affairs

11/08/2020