

FINANCIAL REPORTING AUTHORITY

(CAYFIN)



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CAYMAN ISLANDS

Financial Sanctions Notice

04/02/2022

Belarus

Introduction

1. The Republic of Belarus (Sanctions) (EU Exit) Regulations 2019 (S.I. 2019/600) were made under the Sanctions and Anti-Money Laundering Act 2018 (the Sanctions Act) and provide for the freezing of funds and economic resources of certain persons, entities or bodies reasonably suspected of involvement in conduct enabling or facilitating the disappearances of four people or the failure to investigate properly or institute criminal proceedings against the persons responsible for those disappearances; the commission of serious human rights violations in Belarus; the repression of civil society or democratic opposition in Belarus; or other actions, policies or activities which undermine democracy or the rule of law in Belarus.
2. On 4 February 2022 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.
4. Further corrections have been made to 7 listings in the new version of the Consolidated List.

Notice summary

5. The following entry has been amended on the new and previous versions of the Consolidated List and is still subject to an asset freeze:
 - DANA HOLDINGS A.K.A DANA ASTRA (Group ID: 14035)
6. The following entries have been amended on the new version of the Consolidated List and are still subject to an asset freeze:
 - Alexander Ryhorovich LUKASHENKO (Group ID: 13918)
 - Igor Petrovich SERGEENKO (Group ID: 13920)
 - Dmitry BALABA (Group ID: 13922)
 - Aleh Uladzimiravich MATKIN (Group ID: 13947)
 - Andrei Mikalaevich GURTSEVICH (Group ID: 14117)
 - Mikhail Safarbekovich GUTSERIEV (Group ID: 14131)
7. One alias has been removed from the following entry. No material changes have been made to the new version of the Consolidated List in HTML format:
 - Alexander Vasilevich SHAKUTIN (Group ID: 14029)

What you must do

8. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources and any funds which are owned or controlled by persons set out in the Annex to the Notice;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the FRA at financialsanctions@gov.ky, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky by completing and submitting a Compliance Reporting Form (CRF). Information reported to FRA may be passed on to other regulatory authorities or law enforcement.
9. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.

10. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information

11. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Belarus financial sanctions page on the Gov.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

12. The Consolidated List can be found here:

<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>

13. Further details on changes relating to the new format of the consolidated list can be found here:

<https://www.gov.uk/government/publications/uk-sanctions-list-change-in-format/uksanctions-list-change-to-the-lists-format>

14. The UK Sanctions List can be found here:

<https://www.gov.uk/government/publications/the-uk-sanctions-list>

15. For more information please see OFSI'S guide to financial sanctions:

<https://www.gov.uk/government/publications/uk-financial-sanctions-guidance>

16. For general information on financial sanctions please see our Financial Sanctions Guidance

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf)

Enquiries

17. Enquiries regarding this Financial Sanctions Notice should be addressed to:

The Sanctions Coordinator
Financial Reporting Authority
P.O. BOX 1054
Grand Cayman KY1-1102
Cayman Islands
financialsanctions@gov.ky

ANNEX TO NOTICE

FINANCIAL SANCTIONS: BELARUS

THE REPUBLIC OF BELARUS (SANCTIONS) (EU EXIT) REGULATIONS 2019 (S.I. 2019/600)

AMENDMENT ON NEW AND PREVIOUS VERSIONS OF CONSOLIDATED LISTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Entity

1. DANA HOLDINGS *a.k.a Dana Astra*

Address: Dana Center, St. P. Mstislavets 9 (1st floor), Minsk, Belarus, 220 114. **Other Information:** (UK Sanctions List Ref): BEL0063 Date designated on UK Sanctions List: 31/12/2020 (UK Statement of Reasons): Dana Holdings *a.k.a Dana Astra* belongs to the Karic brothers (Dragomir and Bogoljub), who are closely associated with Lukashenko and his family, and have benefitted significantly from that relationship. Dana Holdings *a.k.a Dana Astra* is the only non-state owned general sponsor of the Belarusian National Olympic Committee (NOC). The IOC has adopted measures against the NOC for not appropriately protecting athletes from reprisals against them for protesting the 9 August elections and subsequent violent suppression of peaceful protests. Dana Holdings *a.k.a Dana Astra* publicly supported Lukashenko in the face of strikes and protests. (Phone number): 00375 17 26 93 290 (Website): <https://en.dana-holdings.com> (Email address): PR@bir.by (Organisation type): Private sector development group **Listed on:** 18/12/2020 **Last Updated:** ~~31/12/2020~~ 04/02/2022 **Group ID:** 14035.

AMENDMENTS ON NEW VERSION OF CONSOLIDATED LIST

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

1. MATKIN, Aleh Uladzimiravich

Name (non-Latin script): МАТКІН, Олег Владимирович
a.k.a: (1) ~~MATKIN, Aleh, Uladzimiravich~~ (2) ~~MATKIN, Oleg, Vladimirovitch~~ (3) MATKIN, Oleg, Vladimirovitch (non-Latin script: МАТКІН, Олег Уладзіміравіч) **Position:** Head of Penal Correction Department in the Ministry of Internal Affairs (MoIA) **Other Information:** (UK Sanctions List Ref): BEL0025 (UK Statement of Reasons): Matkin is the Head of the Penal Correction Department that has authority over Ministry of Internal Affairs (MoIA) detention facilities. In this position, he is responsible for the inhumane and degrading treatment, amounting to serious human rights violations, including torture, carried out in the detention facilities following the elections of 9 August (Gender): Male **Listed on:** 05/10/2020 **UK Sanctions List Date Designated:** 31/12/2020 **Last Updated:** ~~31/12/2020~~ 04/02/2022 **Group ID:** 13947.

2. GURTSEVICH, Andrei Mikalaevich

Name (non-Latin script): Андрей Микалаевич Гурцевич

DOB: 27/07/1971. **POB:** Baranovich, Brest Region/Oblast, Belarus **a.k.a:** (~~1~~) GURTSEVICH, Andrei, Mikalaevich (2) GURTSEVICH, Andrei, Nikolaevich **Nationality:** Belarus **Position:** First Deputy Commander of the Air Force **Other Information:** (UK Sanctions List Ref):BEL0099 (UK Statement of Reasons):In his position as First Deputy Commander of the Air Force and Air Defence Forces of the Armed Forces of the Republic of Belarus, Andrei Gurtsevich is responsible for the order dispatching military aircraft to intercept passenger flight FR4978 and compel its landing at Minsk airport, without proper justification, on 23 May 2021. In so doing, Gurtsevich acted at the direction of Alexander Lukashenko and in conjunction with the Belarusian aviation authorities. This resulted in the forced redirection of flight FR4978, the detention of the aircraft, its passengers and the crew, and the arrest of opposition journalist and civil society actor Roman Protasevich and Protasevich's partner Sofia Sapega. This politically-motivated decision was aimed at arresting and detaining opposition journalist Protasevich and Sapega and constitutes a form of repression against civil society and democratic opposition in Belarus. Andrei Gurtsevich is therefore responsible for the repression of civil society and democratic opposition in Belarus and so undermined democracy and the rule of law there. (Gender): Male **Listed on:** 21/06/2021 **UK Sanctions List Date Designated:** 25/06/2021 **Last Updated:** ~~25/06/2021~~ 04/02/2022 **Group ID:** 14117.

3. SHAKUTIN, Alexander Vasilevich

DOB: 12/01/1959. **POB:** Bolshoe Babino, Orsha Rayon, Vitebsk region **a.k.a:** SHAKUTIN, Aleksandr **Nationality:** Russia **Address:** Bolshoe Babino, Orsha Rayon, Vitebsk region. **Other Information:** (UK Sanctions List Ref): BEL0067 (UK Statement of Reasons): A longstanding associate of Alexander Lukashenko, Alexander Shakutin is a leading owner of private enterprise in Belarus. Shakutin has supported the Lukashenko regime despite the fraudulent election result. There are reasonable grounds to suspect that the support of Shakutin for the regime could be contributing to activities undermining democracy. (Gender):Male **Listed on:** 18/12/2020 **UK Sanctions List Date Designated:** 31/12/2020 **Last Updated:** ~~31/12/2020~~ 04/02/2022 **Group ID:** 14029.

4. LUKASHENKO, Alexander Ryhorovich

Name (non-Latin script): ЛУКАШЭНКА, Аляксандр Рыгоровіч

DOB: 30/08/1954. **POB:** Копыс, Vitebsk district. Копыс, Vitebsk/Viciebsk district, Belarus **a.k.a:** (1) LUKASHENKA, Aleksandr, Grigorievich (2) LUKASHENKA, Aleksandr, Grogorievich (3) LUKASHENKA, Aleksandr, Ryhoravich (4) LUKASHENKA, Alexander, Grigorievich (5) LUKASHENKA, Alexander, Ryhoravich (6) LUKASHENKA, Alexander, Ryhorovich (7) LUKASHENKA, Aliaksandr, Grigorievich (8) LUKASHENKA, Aliaksandr, Ryhoravich (9) LUKASHENKO, Aleksandr, Grigorievich (10) LUKASHENKO, Aleksandr, Grogorievich (11) LUKASHENKO, Aleksandr, Ryhoravich (12) LUKASHENKO, Alexander, Grigorievich (13) LUKASHENKO, Alexander, Grigorievich (non-Latin script: ЛУКАШЭНКА, Аляксандр Рыгоровіч) (14) LUKASHENKO, Alexander, Ryhoravich (15) LUKASHENKO, Aliaksandr, Grigorievich (16) LUKASHENKO, Aliaksandr, Ryhoravich (non-Latin script: ЛУКАШЕНКО, Александр Григорьевич) **Nationality:** Belarus **Address:** Independence Palace, Prospekte Pobeditelei, Minsk, Belarus. **Position:** President of Belarus **Other Information:** (UK Sanctions List Ref): BEL0045 and GHR0050 Listed under the Global Human Rights and Belarus sanctions regimes. (UK Statement of Reasons):Alexander Lukashenko is the President of Belarus. He has held near absolute authority in his 26 years in power and maintains close control over the security apparatus of the State. This authority has remained in place since the 9 August 2020 Presidential election and he is ultimately responsible for the violence against protestors and journalists and the

Lukashenko regime's campaign to repress civil society and democratic opposition, and undermine the rule of law, that has followed the disputed election result in Belarus. Lukashenko has not called out violence and has expressed support for security services. Alexander Lukashenko has therefore been responsible for serious violations of human rights, the repression of civil society or democratic opposition in Belarus, or other actions, policies or activities which undermine democracy or the rule of law in Belarus following 9 August 2020, and has been involved in promoting such activities. (Gender): Male **Listed on:** 06/11/2020 **UK Sanctions List Date Designated:** 31/12/2020 **Last Updated:** ~~12/08/2021~~ 04/02/2022 **Group ID:** 13918.

5. SERGEENKO, Igor Petrovich

Name (non-Latin script): СЕРГЯЕНКА, Ігар Пятровіч

DOB: 14/01/1963. **POB:** Stolitsa, Vitebsk/Viciebsk region, Former USSR Currently Belarus **a.k.a:** (1) SERGEENKO, Igor, Piatrovich (non-Latin script: СЕРГЕЕНКО, Игорь Петрович) (2) SERGEENKO, Ihar, Petrovich (3) SERGEENKO, Ihar, Piatrovich (4) SERGEYENKO, Igor, Petrovich (5) SERGEYENKO, Igor, Piatrovich (6) SERGEYENKO, Ihar, Petrovich (7) SERGEYENKO, Ihar, Piatrovich (8) SERGYAENKA, Igor, Petrovich (9) SERGYAENKA, Igor, Piatrovich (10) SERGYAENKA, Ihar, Petrovich (11) SERGYAENKA, Ihar, Piatrovich (12) SIARHEENKA, Igor, Petrovich (13) SIARHEENKA, Igor, Piatrovich (14) SIARHEENKA, Ihar, Petrovich (15) SIARHEENKA, Ihar, Piatrovich **Nationality:** Belarus **Position:** Chief of Staff to the President of Belarus, also known as Head of the Presidential Administration **Other Information:** (UK Sanctions List Ref):BEL0046 and GHR0052 Listed under the Belarus and Global Human Rights sanctions regimes. Former First Deputy Head of the KGB. Former Head of the KGB of the Mogilev/Mohilev region. (UK Statement of Reasons):Igor Sergeenko is the Chief of Staff to the President of Belarus, Alexander Lukashenko, also known as the Head of the Presidential Administration of Belarus. In this role, Sergeenko has significant influence over the Belarusian authorities' response to the post-election protests, including serious human rights violations, the repression of civil society or democratic opposition in Belarus and actions and policies or activities which undermine democracy or the rule of law in Belarus. Sergeenko also plays an important coordinating role over state bodies, including the working relationship between the President and the Belarusian Ministry of the Interior and its internal security forces, which are responsible for treatment amounting to serious human rights violations. Igor Petrovich Sergeenko is the Chief of Staff to the President of Belarus, Alexander Lukashenko, also known as the Head of the Presidential Administration of Belarus. In this role, Sergeenko has significant influence over the Belarusian authorities' response to the post-election protests, which included subjecting detainees to cruel, inhuman and degrading treatment or torture. Sergeenko also plays an important coordinating role over state bodies, including the working relationship between the President and the Belarusian Ministry of the Interior and its internal security forces, which are responsible for treatment amounting to CIDT or torture of detainees. (Gender): Male **Listed on:** 06/11/2020 **UK Sanctions List Date Designated:** 31/12/2020 **Last Updated:** ~~12/08/2021~~ 04/02/2022 **Group ID:** 13920.

6. BALABA, Dmitry

Name (non-Latin script): БАЛАБА, Дзмітрый Уладзіміравіч

DOB: 01/06/1972. **POB:** Molodenchensky district Gorodilovo Minsk region. ~~Molodenchensky district,~~ Belarus **a.k.a:** (1) BALABA, Dmitry, Vladimirovich (non-Latin script: БАЛАБА, Дзмітрый Уладзіміравіч) (2) BALABA, Dzmitry, Uladzimiravich (3) BALABA, Dzmitry, Vladzimiravich **Nationality:** Belarus **Address:** Belarus. **Position:** Commander of the Special Purpose Police Unit of Minsk (OMON). ~~Commander of the Special Purpose Police Unit of Minsk, (OMON)~~ **Other Information:** (UK Sanctions List Ref):BEL0013 and GHR0054 Listed under the Belarus and Global Human Rights sanctions regimes. (UK

Statement of Reasons): Dmitry Balaba is Commander of the Special Purpose Police Unit of Minsk (OMON). In his role as Commander, Balaba is responsible for the actions of OMON in Minsk. Balaba is therefore responsible for the serious violations of the right not to be subject to CIDT or torture of detained protestors, carried out by OMON following the election of 9 August. In his role as Commander, Balaba is responsible for the actions of OMON officers in Minsk. Balaba bears responsibility for the serious human rights violations that were carried out by OMON officers following the election of 9 August, in particular arbitrary arrests and ill-treatment, including torture, of peaceful demonstrators as well as intimidation and violence against journalists. (Gender): Male
Listed on: 05/10/2020 **UK Sanctions List Date Designated:** 31/12/2020 **Last Updated:** ~~31/12/2020~~ 04/02/2022 **Group ID:** 13922.

7. GUTSERIEV, Mikhail Safarbekovich

Name (non-Latin script): Михаил Сафарбекович Гуцериев
DOB: 09/03/1958. **POB:** ~~Akmolinsk, Nur-Sultan, Kazakhstan (Soviet Union)~~ (1) Akmolinsk, Kazakhstan (2) Akmolinsk, Soviet Union (3) Nur-Sultan, Kazakhstan (4) Nur-Sultan, Soviet Union **a.k.a:** GUTSERIYEV, Mikhail, Safarbekovich **Nationality:** Cyprus **Passport Number:** K00162033 **Passport Details:** Expiry: 11 Feb 2024 **Address:** Russia. **Position:** Businessman **Other Information:** (UK Sanctions List Ref): BEL0110 (UK Statement of Reasons): Mikhail Gutseriev is a prominent Russian businessman who is one of the main private investors in Belarus and a longstanding associate of Alexander Lukashenko, who is responsible for serious violations of human rights and the repression of civil society and democratic opposition in Belarus. Gutseriev has provided support for the Government of Belarus, including through use of his business interests. (Gender): Male
Listed on: 09/08/2021 **UK Sanctions List Date Designated:** 09/08/2021 **Last Updated:** ~~12/08/2021~~ 04/02/2022 **Group ID:** 14131.

Financial Reporting Authority

Portfolio of Legal Affairs

04/02/2022